

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		1454.1082/RAG 09/937766
INTERNATIONAL APPLICATION NO. PCT/DE00/00699	INTERNATIONAL FILING DATE 6 March 2000	PRIORITY DATE CLAIMED 31 March 1999
TITLE OF INVENTION METHOD, USE OF SAID METHOD AND RECEIVER SYSTEM FOR RECEIVING MULTI-CARRIER SIGNALS PRESENTING SEVERAL FREQUENCY-DISCRETE SUBCARRIERS		
APPLICANT(S) FOR DO/EO/US Wolfgang ZIRWAS		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)). 3. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 4. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 5. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 7. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. <input checked="" type="checkbox"/> An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). 9. <input type="checkbox"/> A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 10-15 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 10. <input checked="" type="checkbox"/> An Information Disclosure Statement Under 37 CFR 1.97 and 1.98. 11. <input checked="" type="checkbox"/> An assignment document for recording. <p style="margin-left: 20px;">Please mail the recorded assignment document to:</p> <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> the person whose signature, name & address appears at the bottom of this document. b. <input type="checkbox"/> the following: 12. <input checked="" type="checkbox"/> A preliminary amendment. 13. <input checked="" type="checkbox"/> A substitute specification 14. <input type="checkbox"/> A change of power of attorney and/or address letter. 15. <input type="checkbox"/> Other items or information: 		

09/937766

JC05 Rec'd PCT/PTO 0 1 OCT 2001

[X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS		15 -20=	0	x \$ 0.00	0.00
INDEPENDENT CLAIMS		3 -3=	0	x \$ 80.00	0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	0.00
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4): <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,000 <input checked="" type="checkbox"/> International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..\$ 890 <input type="checkbox"/> International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO.....\$ 710 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4).....\$ 690 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4)\$ 100					890.00
Surcharge of \$130 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).					0.00
TOTAL OF ABOVE CALCULATIONS					890.00
Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)					
SUBTOTAL					890.00
Processing fee of \$130 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).					
TOTAL NATIONAL FEE					0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)).					+ 40.00
TOTAL FEES ENCLOSED					930.00

- a. [X] A check in the amount of \$ 930.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



21171

PATENT TRADEMARK OFFICE

10/1/01
DATE

Richard A. Gollhofer
Richard A. Gollhofer
REGISTRATION NO. 31,106

Docket No.: 1454.1082/RAG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Wolfgang ZIRWAS

Serial No.

Group Art Unit: (unassigned)

Confirmation No.

Filed: (concurrently)

Examiner: (unassigned)

For: METHOD AND SYSTEM FOR RECEIVING MULTI-CARRIER SIGNALS PRESENTING
SEVERAL FREQUENCY-DISCRETE SUBCARRIERS (as amended)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Before examination of the above-identified application, please amend the application as follows:

IN THE TITLE:

Please DELETE "METHOD, USE OF SAID" and change "A RECEIVING
ARRANGEMENT" to --SYSTEM--.

IN THE SPECIFICATION:

Please REPLACE the pending specification with the Substitute Specification attached hereto.

IN THE ABSTRACT:

Please REPLACE the originally filed Abstract with the enclosed Substitute Abstract

IN THE CLAIMS:

Please CANCEL claims 1-15 without prejudice or disclaimer of any of the subject matter claimed therein and ADD new claims in accordance with the following:

16. (NEW) A method for receiving a multicarrier signal transmitted via a transmission medium subjecting each frequency-discrete subcarrier of the multicarrier signal to subcarrier-

specific disturbances caused by adjacent subcarriers in the frequency domain, the multicarrier signal having a number of frequency-discrete subcarriers and carrying inserted information converted by a multicarrier method to frequency-discrete modulation-specific modulation symbols, said method comprising:

superimposing on each frequency-discrete subcarrier of the multicarrier signal predetermined test disturbances to produce a deliberately disturbed multicarrier signal;

comparing disturbed modulation symbols in the deliberately disturbed multicarrier signal with undisturbed, modulation-specific modulation symbols, to derive subcarrier-specific error information;

deriving correction information representing the subcarrier-specific disturbances as a function of the predetermined test disturbances and the subcarrier-specific error information; and

correcting the frequency-discrete subcarriers of the multicarrier signal based on the correction information.

17. (NEW) The method as claimed in claim 16, wherein a number of different test disturbances are provided with each frequency-discrete subcarrier that is subjected to a test disturbance being disturbed by at least one of constant and frequency-dependent disturbance information.

18. (NEW) The method as claimed in claim 17,

further comprising establishing differently defined reference disturbance information items,

wherein said superimposing comprises

deriving from the multicarrier signal frequency-discrete received symbols representing the frequency-discrete subcarriers,

subjecting, for each reference disturbance information item, each of the frequency-discrete received symbols in adjacent subcarriers which are adjacent to at least some of the subcarriers in the frequency domain, to disturbances from the reference disturbance information item to produce disturbed symbols, and

superimposing the disturbed symbols in the adjacent subcarriers as the predetermined test disturbances on the received symbol to produce deliberately disturbed symbols,

wherein said comparing compares each deliberately disturbed symbol with a closest modulation-specific modulation symbol to form the subcarrier-specific error information, and

wherein said deriving comprises

forming disturbance-information-specific sum error information from the subcarrier-specific error information, and

deriving the correction information from the differently defined reference disturbance information items and the disturbance-information-specific sum error information items.

19. (NEW) The method as claimed in claim 18, further comprising:

at least one of delaying and temporarily storing the frequency-discrete received symbols derived from the multicarrier signal until the correction information has been established;

correcting the frequency-discrete received symbols, after said at least one of delaying and temporarily storing, by the determined correction information to produce corrected symbols; and

superimposing the corrected symbols on the frequency-discrete received symbols.

20. (NEW) The method as claimed in claim 18, wherein said deriving further comprises

establishing a correction function using the differently defined reference disturbance information items and the disturbance-information-specific sum error information items; and

calculating the correction information using the correction function.

21. (NEW) The method as claimed in claim 20,

wherein four differently defined reference disturbance information items are provided, and are used to derive four disturbance-information-specific sum error information items; and

wherein said calculating is performed by

$$ici_{opt} = \left(\frac{se4 - \frac{(se1 + se3)}{2}}{2(se1 - se3)} \right) \cdot (ici1 - ici3) + \frac{ici4}{2}$$

where

$s_{\epsilon 1 \dots 4}$ represents the four disturbance-information-specific sum error information items, and

$i_{c1 \dots 4}$ represents the four differently defined reference disturbance information items.

22. (NEW) The method as claimed in claim 18,

further comprising repeating said establishing, superimposing, comparing and deriving to determine the correction information iteratively until a minimum value of the disturbance-information-specific sum error information is determined.

23. (NEW) The method as claimed in claim 18, further comprising correcting each deliberately disturbed symbol by equalization as a function of frequency-selective transmission characteristics of the transmission medium before said comparing with the closest modulation-specific modulation symbol.

24. (NEW) The method as claimed in claim 18, further comprising, after said superimposing, comparing, deriving and correcting of the adjacent subcarriers:

subjecting the frequency-discrete received symbols of distant subcarriers, each arranged further away from at least some of the subcarriers in the frequency domain, to disturbances from the differently defined reference disturbance information items to produce distant disturbed symbols;

superimposing the distant disturbed symbols as deliberate test disturbances on each received symbol to produce additionally disturbed subcarriers; and

repeating said comparing, deriving and correcting using the additionally disturbed subcarriers.

25. (NEW) The method as claimed in claim 17, wherein error identification information is inserted into the multicarrier signal prior to transmission

wherein said method further comprises:

demodulating corrected symbols resulting from said correcting of the frequency-discrete subcarriers to produce demodulated symbols,

identifying errors in the demodulated symbols using the error identification information to produce identified erroneous symbols;

correcting identified erroneous symbols to produce corrected erroneous symbols;

determining additional correction information using the corrected erroneous symbols; and

repeating said comparing, deriving and correcting of the frequency-discrete subcarriers using the additional correction information.

26. (NEW) The method as claimed in claim 16, wherein the multicarrier signal is generated by one of an Orthogonal Frequency Division Multiplexing transmission method and a transmission method based on discrete multiple tones.

27. (NEW) The method as claimed in claim 16, wherein the transmission medium is one of a wireless radio channel, a cable-based transmission channel and a wire-based transmission channel.

28. (NEW) The method as claimed in claim 27, wherein the transmission medium includes power supply lines.

29. (NEW) A method for receiving a multicarrier signal transmitted via a transmission medium subjecting each frequency-discrete subcarrier of the multicarrier signal to subcarrier-specific disturbances caused by adjacent subcarriers in the frequency domain, the multicarrier signal having a number of frequency-discrete subcarriers and carrying inserted information converted by a multicarrier method to frequency-discrete modulation-specific modulation symbols, said method comprising:

demodulating the multicarrier signal to produce a demodulated multicarrier signal;

identifying and correcting errors in the demodulated multicarrier signal using an error handling routine; and

when a predetermined number of errors that cannot be corrected are found, superimposing on each frequency-discrete subcarrier of the multicarrier signal predetermined test disturbances to produce a deliberately disturbed multicarrier signal; comparing disturbed modulation symbols in the deliberately disturbed multicarrier signal with undisturbed, modulation-specific modulation symbols, to derive subcarrier-specific error information;

deriving correction information representing the subcarrier-specific disturbances as a function of the predetermined test disturbances and the subcarrier-specific error information; and

correcting the frequency-discrete subcarriers of the multicarrier signal based on the correction information.

30. (NEW) A system for receiving a multicarrier signal transmitted via a transmission medium subjecting each frequency-discrete subcarrier of the multicarrier signal to subcarrier-specific disturbances caused by adjacent subcarriers in the frequency domain, the multicarrier signal having a number of frequency-discrete subcarriers and carrying inserted information converted by a multicarrier method to frequency-discrete modulation-specific modulation symbols, said system comprising:

means for superimposing on each frequency-discrete subcarrier of the multicarrier signal predetermined test disturbances to produce a deliberately disturbed multicarrier signal;

means for comparing disturbed modulation symbols in the deliberately disturbed multicarrier signal with undisturbed, modulation-specific modulation symbols, to derive subcarrier-specific error information;

means for deriving correction information representing the subcarrier-specific disturbances as a function of the predetermined test disturbances and the subcarrier-specific error information; and

means for correcting the frequency-discrete subcarriers of the multicarrier signal based on the correction information.

REMARKS

This Preliminary Amendment is submitted to improve the form of the English translation as filed. It is respectfully requested that this Preliminary Amendment be entered in the above-referenced application.

In accordance with the foregoing, claims 1-15 have been canceled and claims 16-30 have been added. Thus, claims 16-30 are pending and are under consideration.

A substitute specification is also being filed herewith. The substitute specification is accompanied by a marked-up copy of the original specification.

If there are any questions regarding these matters, such questions can be addressed by telephone to the undersigned. Otherwise, an early action on the merits is respectfully solicited.

If any further fees are required in connection with the filing of this Preliminary Amendment, please charge same to our Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 10/1/01

By: Richard A. Gollhofer
Richard A. Gollhofer
Registration No. 316,106

700 Eleventh Street, NW, Suite 500
Washington, D.C. 20001
(202) 434-1500

Substitute Specification**TITLE OF THE INVENTION****METHOD AND SYSTEM FOR RECEIVING MULTICARRIER SIGNALS HAVING
A NUMBER OF FREQUENCY-DISCRETE SUBCARRIERS****BACKGROUND OF THE INVENTION****1. Field of the Invention**

[0001] The present invention is directed to wireless communications networks, based on radio channels, in particular in point-to-multipoint radio feeder networks.

2. Description of the Related Art

[0002] In wireless communications networks, based on radio channels, in particular in point-to-multipoint radio feeder networks - also referred to as "Radio In The Local Loop" or "RLL" - a number of network termination units are each connected via one or more radio channels to a base station - also referred to as "Radio Base Station" or "RBS". For example, a wireless feeder network for wireless voice and data communication is described on pages 36, 37 of telecom report No. 18 (1995), Issue 1 "Drahtlos zum Freizeichen" which means "Wireless for Calling". The described communications system is an RLL subscriber access in conjunction with a modern broadband infrastructure - for example "Fiber to the curb" - which can be implemented in a short time and without major effort, instead of laying wire-based connecting cables. The network termination units RNT associated with the individual subscribers are connected via the "radio channel" transmission medium and the base station RBS to a higher-level communications network, for example to the ISDN-oriented landline network.

[0003] The increasingly widespread use of multimedia applications means that high-bit-rate data streams have to be transmitted quickly and reliably via communications networks, in particular via wireless communications networks and via mobile radio systems, with the radio transmission systems, which are based on a "radio channel" transmission medium which is susceptible to disturbances and whose transmission quality is difficult to assess, being subject to stringent requirements. One transmission method for transmitting broadband data streams - for example video data streams - is, for example, the OFDM transmission method which is

based on a multicarrier method - also referred to as Orthogonal Frequency Division Multiplexing OFDM. In the OFDM transmission technique, the information to be transmitted or the data stream to be transmitted is subdivided or parallelized between a number of subchannels or subcarriers within the radio channel, with the information to be transmitted in each case being transmitted at a relatively low data rate, but in parallel in an additively superimposed form. The OFDM transmission technique is used, for example, for digital terrestrial broadcast radio - also referred to as Digital Audio Broadcasting DAB - and for digital terrestrial television - also referred to as Digital Terrestrial Video Broadcasting DTVB. In particular, the OFDM transmission technique is intended to be used in future wireless local communications networks - also referred to as Wireless LAN or WLAN - and in future mobile radio communications networks - for example UMTS. The OFDM transmission technique will also be used in future access methods such as MC-SSMA - Multi-Carrier Spread Spectrum Multiple Access - or MC-CDMA - Multi-Carrier CDMA.

[0004] The OFDM transmission method is described in more detail in Figure 6 on page 46 of the document "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen", Professor H. Rohling, Year XXXI, Issue 1-1996, the title of which means "Reports from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications". In this case, based on a serial datastream in the transmitter, serial/parallel conversion is carried out for modulation of the, for example, n subcarriers, with a binary code word with a word length k - the word length k is dependent on the modulation method used - being formed in each case for the i -th OFDM block in time with the block length T' and the j -th subcarrier. A transmitter-specific modulation method is used to form the corresponding complex modulation symbols - also referred to as transmission symbols in the following text - from the code words that have been formed, with a transmission symbol being allocated to each of the k subcarriers at each time i . The separation between the individual subcarriers is defined by $\Delta f = 1/T'$, thus guaranteeing the orthogonality of the individual subcarrier signals in the interval $(0, T')$ that is used. The oscillations of the individual subcarriers are multiplied by the corresponding modulation symbols or transmission symbols, and the modulation products that are formed are then added, to produce the corresponding time-discrete transmission signal for the i -th OFDM block in time. This transmission signal is calculated directly from the modulation symbols or transmission symbols of the individual subcarriers under consideration by Inverse, Discrete Fourier Transformation - IDFT. In order to minimize intersymbol interference, each OFDM block is preceded by a guard interval T_G in the time domain which results in the

time-discrete OFDM signal being lengthened in the interval $(-T_G, 0)$ - see "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen", which means "Report from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications," Figure 7. The guard interval T_G that is inserted advantageously corresponds to the maximum delay time difference that occurs between the individual propagation paths which occur during radio transmission. The removal of the added guard interval T_G at the receiver end avoids, for example, any interference between the i -th OFDM block and the OFDM signal which is adjacent in time at the time $i-1$, so that the transmission signal is received over all the circuitous paths in the interval $(0, T')$, and the orthogonality is fully maintained between the subcarriers in the receiver. If there are a large number of subcarriers - for example $n = 256$ subcarriers - and the symbol durations $T = T' + T_G$ are correspondingly long, then the duration T_G is short in comparison to T , so that the insertion of the guard interval efficiently has no significant adverse effect on the bandwidth, and the resultant overhead is only small. After sampling of the transmission signal, received at the input of the receiver in baseband - by an A/D converter - and after extraction of the interval being used - that is to say after removal of the guard interval T_G - a Discrete Fourier Transformation - DFT - is used to transform the received transmission signal to the frequency domain, that is to say the received modulation symbols and the received symbols are established. A suitable demodulation method is used to produce the corresponding received code words from the established received symbols and the received serial datastream is formed by parallel/serial conversion from these code words. The avoidance of intersymbol interference when using OFDM transmission methods considerably reduces the computation effort in the respective receiver, as a result of which the OFDM transmission technique is used, for example, for terrestrial transmission of digital television signals - for example for transmission of broadband datastreams at a transmission rate of 34 Mbps per radio channel.

[0005] Absolute or differential modulation methods and corresponding coherent or incoherent demodulation methods are used for transmission of the serial datastream to be transmitted using the OFDM transmission method. Examples of an absolute modulation method include 4-QAM or 16-QAM - Quadrature Amplitude Modulation. Although the orthogonality of the subcarriers is fully maintained when the OFDM transmission method is used to transmit the transmission signal that has been formed via the only "radio channel" transmission medium, the transmission characteristics of the radio channel result in the transmitted, frequency-discrete or frequency-selective transmission symbols being varied both in phase and amplitude. The

amplitude and phase influence of the radio channel affects the individual subcarriers, which each have a very narrow bandwidth, on a subcarrier-specific basis; furthermore, noise signals are additively superimposed on the transmitted user signal. When using coherent demodulation methods, channel estimation is required which, depending on the quality requirements, involves a considerable technical and financial implementation penalty and, furthermore, reduces the performance of the transmission system. It is advantageous to use differential modulation methods and corresponding incoherent demodulation methods in which there is no need for complex radio channel estimation. In differential modulation methods the information to be transmitted is not transmitted directly by selection of the modulation symbols or of the frequency-discrete transmission symbols, but by changing the frequency-discrete transmission symbols, which are adjacent in time, on the same subcarrier. Examples of differential modulation methods include 64-step 64-DPSK - Differential Phase Shift Keying - and 64-DAPSK - Differential Amplitude and Phase Shift Keying. In 64-DAPSK, both the amplitude and the phase are differentially modulated at the same time.

[0006] If there are major delay time differences between the individual signal paths, that is to say when severe multi-path propagation occurs, different attenuation levels, dependent on the transmission channel, with attenuation differences of up to 20 dB or more, may occur between the individual received subcarriers. The received subcarriers having high attenuation levels, or subcarriers with low S/N ratios - also referred to as the signal power-to-noise power ratio - have a very high symbol error rate, as a result of which the overall bit error rate over all the subcarriers rises considerably. In the case of subcarriers modulated using coherent modulation methods, it is already known for the attenuation losses caused by the frequency-selective transmission characteristics of the transmission medium - also referred to as the transfer function $H(f)$ - to be corrected at the receiving end by using the inverse transfer function - also referred to as $1/H(f)$, with the frequency-selective attenuation losses being determined, for example, by evaluating transmitted reference pilot tones, which are each associated with specific subcarriers.

[0007] Normally, OFDM signals arriving at a receiver are mixed down to the intermediate frequency band or baseband by a local oscillator in a radio-frequency unit - also referred to as an RF frontend.

[0008] The respective local oscillators at the transmitting end and at the receiving end, have different frequency fluctuations and different phase noise depending on the quality and the Q

factor. OFDM signals in particular are highly susceptible to frequency fluctuations and phase noise, which are produced in particular in low-cost LO oscillators, so they result in loss of the orthogonality between the adjacent subcarriers in the frequency domain. The phase noise from a local oscillator causes disturbances in the demodulated baseband signal resulting, in particular, in the production of a Common Phase Error - also referred to as CPE interference or disturbances - and "Inter Carrier Interference" - also referred to as ICI disturbances in the baseband signal.

[0009] CPE disturbances rotate all the subcarriers in an OFDM received signal through a constant phase difference, in which case the phase difference can be estimated, and the OFDM signal can be corrected accordingly, with minimal effort. On the other hand, ICI disturbances cause mutual interference between the adjacent subcarriers in the frequency domain, with the respective extent of such disturbances being dependent on the nature of the information being transmitted. ICI disturbances are produced in the convolution of the individual subcarriers with the local oscillator carrier signal, which is subject to phase noise. If the same information is transmitted on each subcarrier, the same ICI interference is additively superimposed on each subcarrier. During normal operation, each subcarrier is subject to different amplitude fluctuations, which result in different ICI disturbances being produced in the individual subcarriers, depending on the modulation method being used and on the data being transmitted. The received OFDM signal is a complicated additive superimposition of a very large number of signal elements, so that the ICI interference can be established directly only by increased effort.

[0010] Oscillators with low phase noise - also referred to as pure phase oscillators - are available, but these are either very expensive or have a minimal trimming range, and which thus require complex additional baseband circuits.

SUMMARY OF THE INVENTION

[0011] The invention is based on the object of designing a low-cost way of transmitting information using a multicarrier method and, in particular, of achieving effective utilization of the transmission resources available in the transmission medium.

[0012] In the method according to the invention for receiving a multicarrier signal having a number of frequency-discrete subcarriers, the information to be transmitted is converted by a

multicarrier method to frequency-discrete modulation symbols, and is inserted into the multicarrier signal. The individual frequency-discrete subcarriers of the multicarrier signal transmitted via a transmitter medium are each subject to subcarrier-specific disturbances caused by adjacent subcarriers in the frequency domain. The major aspect of the method according to the invention is that the subcarriers in the received multicarrier signal are additionally deliberately subjected to test disturbances. The modulation symbols contained in the deliberately disturbed multicarrier signal are compared with undisturbed modulation-specific modulation symbols, and subcarrier-specific error information is derived from the comparison results. Correction information which represents subcarrier-specific disturbances is derived as a function of the predetermined test disturbances and as a function of the derived subcarrier-specific error information. The subcarriers in the received multicarrier signal are corrected in accordance with the determined correction information.

[0013] The major advantage of the method according to the invention is that the compensation according to the invention for the subcarrier-specific disturbances or ICI disturbances contained in the received multicarrier signal means that particularly low-cost local oscillators can be used in the respective transmitting and receiving devices. Such oscillators may, for example, be based on gallium arsenide and can be produced with the minimum financial cost and technical complexity using MMIC. Furthermore, no additional insertion of redundant information is required at the transmission end for estimation of the ICI disturbances or to establish correction information in order to implement the method according to the invention, thus allowing effective utilization of the transmission resources available in the transmission medium to be achieved.

[0014] The received symbols which represent frequency-discrete subcarriers are advantageously derived from the received multicarrier signal. In this advantageous refinement, k differently defined reference disturbance information items are provided, in which case, for each reference disturbance information item, the received symbols in the subcarriers which are in each case adjacent to at least some of the subcarriers in the frequency domain are each subjected to disturbances from the reference disturbance information, and the disturbed received symbols in the adjacent subcarriers are then additively superimposed (a) as deliberate test disturbances on the received symbol in the additionally disturbed subcarrier. The additionally deliberately disturbed received symbols are each compared with the closest modulation-specific modulation symbol, and subcarrier-specific error information is formed (b) as a function of the comparison results, and disturbance-information-specific sum error information is formed (c) from the subcarrier-specific error information. The k reference

disturbance information items and the k sum error information items are then used to derive (d) the correction information . This advantageous refinement allows the correction information for estimating the ICI disturbances to be established very accurately, since the correction information has been derived by averaging over all the subcarriers in the received multicarrier signal.

[0015] According to one advantageous refinement of the method according to the invention, the correction information (ici_{opt}) is determined in the course of an iterative search, with the k reference disturbance information items ($ici1...4$) being established in the course of the iterative search, and steps (a) to (c) being repeated until a minimum value of the disturbance-information-specific sum error information (ϵ_{min}) is determined, and the correction information (ici_{opt}) has been derived from this . Determination of correction information (ici_{opt}) using the iterative search represents a highly stable method.

[0016] According to a further advantageous refinement of the method according to the invention, the additionally deliberately disturbed received symbols are in each case corrected by equalization as a function of frequency-selective transmission characteristics of the transmission medium before the comparison with the respective closest modulation-specific modulation symbol. Equalizing the received multicarrier signal to correct for the frequency-selective transmission characteristics of the transmission medium means that any errors which may have occurred in the comparison of the deliberately disturbed received symbols with the respective closest modulation-specific modulation symbols are minimized, and the quality of the determined correction information is thus improved.

[0017] Advantageously, once steps (a) to (d) have each been carried out for each reference disturbance information item the received symbols of the subcarriers which are each further away from at least some of the subcarriers in the frequency domain are each subjected to disturbances from the reference disturbance information, and the disturbed received symbols are then additively superimposed as deliberate test disturbances on the received symbol of the additionally disturbed subcarrier (a'). Steps (b) to (d) are then carried out. Giving additional consideration to those subcarrier-specific disturbances which are in each case caused by adjacent carriers that are further away in the frequency domain further improves the quality of the determined correction information.

[0018] In order to achieve a further improvement in the process of establishing the correction information, according to a further advantageous refinement of the method according to the invention, the received symbols which have been corrected using the correction information are demodulated. Errors are identified in the demodulated received symbols using error identification information inserted into the transmitted information, and identified, erroneous received symbols are corrected. When errors are identified, steps (b) to (d) are carried out once again, with the corrected received symbols being used for determining the correction information.

[0019] Further advantageous refinements of the method and system according to the invention for receiving a multicarrier signal having a number of frequency-discrete subcarriers are described below.

BRIEF DESCRIPTION OF THE DRAWINGS

[0020] The method according to the invention will be explained in more detail in the following text with reference to four drawings, in which:

Figure 1 is graph of a disturbance model on which the method according to the invention is based, which is used to illustrate the mutual subcarrier-specific interference between subcarriers in a multicarrier signal which are adjacent in the frequency domain,

Figure 2 is a block diagram of a circuit using the method according to the invention,

Figure 3 is a block diagram of an advantageous refinement of a circuit for additive superimposition of reference disturbance information, and test disturbances derived from it, on the respective subcarriers in a received multicarrier signal, and

Figure 4 is a graph of an error curve or correction function from which the correction information to minimize the subcarrier-specific disturbances in a received multicarrier signal is derived.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0021] Figure 1 is graph of a disturbance model, in the frequency domain, to illustrate the problem on which the method according to the invention is based. The disturbance model shows, in detail form, a number of subcarriers st_{i-1} , st_i , st_{i+1} in a multicarrier signal ms which has, in particular, n subcarriers $st1...n$ and is formed using a multicarrier method. It is assumed in

the following text that the multicarrier signal is produced using an OFDM transmission method. Originating from each subcarrier st_i , subcarrier-specific disturbances $icix$ are produced in those subcarriers st_{i-1} and st_{i+1} which are adjacent in the frequency domain, and these disturbances are indicated by small arrows in the disturbance model. Conversely, the centrally arranged i -th subcarrier st_i is influenced by the subcarrier-specific disturbances - indicated by $icix_{-1}$ and $icix_{+1}$ in Fig. 1 - caused by the two adjacent subcarriers st_{i-1} and st_{i+1} , with the respective i -th subcarrier st_i in each case having the subcarrier-specific disturbances $icix_{-1}$, $icix_{+1}$ which are produced actively superimposed on it. As shown in Fig. 1, the received multicarrier signal ms is a complicated superimposition of a very large number of signal elements, so that it is no longer possible to establish directly the subcarrier-specific disturbances $icix$ originating from the individual subcarriers $st1...n$.

[0022] Figure 2 is a block diagram of a circuit in a receiving unit E and by which the subcarrier-specific disturbances $icix$ - also referred to as ICI disturbances in the following text - contained in the received OFDM signal ms are estimated, with the received OFDM signal ms then being corrected by equalization as a function of the estimation result. The block diagram shows a receiving unit E which has a receiving antenna A and may, for example, be a modular component in base stations or network termination units, which act as receiving systems in wireless communications networks. A radio-frequency converter unit HFU is connected via an input EH to the receiving antenna A which is fitted externally on the receiving unit E. A local oscillator LO in the radio-frequency converter unit HFU has oscillator-specific phase noise ϕ_{LO} . The radio-frequency converter HFU is connected via an output AH to an input EW of a converter unit WAS. The converter unit WAS performs analog/digital conversion and subsequent serial/parallel conversion (A/D, S/P) of an incoming received signal ms' . The converter unit WAS has n outputs $AW1...n$, which are connected to corresponding inputs $EF1...n$ of a transformation unit FFT for carrying out a discrete "Fast Fourier Transformation". The transformation unit FFT is connected via n outputs $AF1...n$ to corresponding inputs $EP1...n$ of a parallel/serial converter PSW.

[0023] The parallel serial converter PSW is connected via an output AP to one input ER of each of four parallel-arranged reference modules $RM1...4$, by which four defined disturbance signals, or reference disturbance information items $ici1...4$ representing them, are added to the received OFDM signal ms . To do this, each of the four reference modules $RM1...4$ has a disturbance unit STE, each of which is associated with one of the reference disturbance information items $ici1...4$, and by which the individual subcarriers $st1...n$ in the received OFDM signal ms have the

respectively associated reference disturbance information $ici_{1...4}$ additively superimposed on them. Each reference module $RM_{1...4}$ also has an equalizer unit EZ for linear equalization of the received OFDM signal for the radio channel characteristics $H(f)$, and an error detector unit FE in order to establish disturbance-information-specific sum error information $s_{\varepsilon 1...4}$. Each error detector unit FE is connected via an output AF to an output AR of the respective reference module $RM_{1...4}$. Each of the four reference modules $RM_{1...4}$ is connected via the output AR to an input $EA_{1...4}$ of an evaluation unit ASW .

[0024] The output AP of the parallel/serial converter PSW is also connected to an input EV of a delay unit VE, which delays the received OFDM signal ms by a predetermined time constant Δt . The delay unit VE is connected via an output AV to the input EK of correction unit KE. The correction unit KE has a control input SE, which is connected to a control output SA of the evaluation unit ASW. The correction unit AE is connected via an output AK to an input EE of a further equalizer unit EZ, which is connected via an output AE to an input AD of a demodulator DMOD. The demodulator DMOD has an output AD, to which the demodulated received signal is passed on as a digital data signal di.

[0025] The method according to the invention will be explained in the following text with reference to the circuit illustrated in Fig. 2.

[0026] In a transmitter which is not illustrated, a multicarrier method, for example, an OFDM transmission method, is used to convert the information to be transmitted, by a phase-modulating modulation method - for example 4QAM or 16QAM - to corresponding modulation symbols, which are then converted to an OFDM signal m_s , which has a number of frequency-discrete subcarriers $st1...n$, and this is transmitted via the "radio channel" transmission medium FK to the receiving unit E. The radio channel FK has frequency-selective transmission characteristics $H(f)$, which distort the amplitude and phase of the OFDM signal m_s . The transmitted OFDM signal m_s is received via the receiving antenna A, which is mounted externally on the receiving unit E, and is supplied to the radio-frequency converter unit HFU. The received OFDM signal m_s is down-mixed to the intermediate-frequency band by the local oscillator LO in the radio-frequency converter unit HFU, with the phase noise ϕ_{LO} in the local oscillator LO producing the subcarrier-specific disturbances $icix$ in the individual subcarriers $st1...n$ in the received OFDM signal m_s . The OFDM signal m_s' which has been down-mixed to the intermediate-frequency band, is analog/digital converted by the converter unit WAS, and is then parallelized by serial/parallel conversion to corresponding n -time-discrete samples $zs1...n$.

which represent the digital OFDM signal. The discrete “Fast Fourier Transformation” that is carried out in the transformation unit FFT is used to calculate the corresponding n received symbols $es1...n$ from the n time-discrete samples $zs1...n$, and these are then converted by the parallel/serial converter PSW to a serial datastream $es1...n$. It should be noted that the serial/parallel and parallel/serial converter illustrated in Fig. 2 is not absolutely essential, since many modern microprocessors already process the incoming and outgoing information in serial form in order to carry out the “Fast Fourier Transformation”. The received symbols $es1...n$ which are each passed to the output AW of the parallel/serial converter PSW and represent the currently received subcarriers $st1...n$ in the received OFDM signal ms , are each supplied to the four reference modules $RM1...4$.

[0027] The operation of the reference modules $RM1...4$ will be explained in more detail in the following text.

[0028] The disturbance units STE in the reference modules $RM1...4$ are used in each case to superimpose reference disturbance information $ici1...4$, which represents subcarrier-specific disturbances $icix$, on the transmitted received symbols $es1...n$. To this end, using the reference disturbance information $ici1...4$, subcarrier-specific disturbances $icix_{i-1}$, $icix_{i+1}$ - also referred to as defined test disturbances - are derived from the respective subcarriers st_{i-1} , st_{i+1} adjacent to an i -th subcarrier st_i , for example by multiplication by the reference disturbance information $ici1...4$ - and the two derived test disturbances $icix_{i-1}$, $icix_{i+1}$, are then additively superimposed on the centrally arranged i -th subcarrier st_i .

[0029] By way of example, Fig. 3 shows a circuitry embodiment of the disturbance unit STE for forming the test disturbances $icix$ and for additively superimposing the test disturbances $icix$ that are formed on the subcarriers $st1...n$. The disturbance unit STE has three timers $T1...3$, which are used to delay the received symbols $es1...n$, which arrive in serial form and represent the individual subcarriers $st1...n$. Arranging the three timers $T1...3$ in series means that three subcarriers st_{i-1} , st_i and st_{i+1} , which are adjacent in the frequency domain and are represented by the received symbols $es1...n$, are in each case available at the same time. The first and the third timer $T1$, $T3$ are each connected via an output AT to an input EM of a multiplier M , which is used to multiply the respective received symbol $es1...n$ currently stored in the corresponding timer $T1$, $T3$ by the reference disturbance information $ici1...4$ associated with the respective reference module $RM1...4$. The two multipliers M are connected via in each case one output AM to inputs EA of an adder ADD , to which an output AT of the second timer $T2$ is also

connected. The circuit illustrated in Fig. 3 is used to multiply the respective subcarriers st_{i-1} , st_{i+1} , which are adjacent about an i -th subcarrier, or the received symbols $es1...n$ representing them by the respectively associated reference disturbance information $ici1...4$, and the two multiplication products which each represent test disturbances $icix_{-1}$, $icix_{+1}$ added to the i -th subcarrier st_i , or to the received symbol $es1...n$ representing it. Depending on the respective mathematical sign of the individual reference disturbance information items $ici1...4$, the test disturbances $icix_{-1}$, $icix_{+1}$ which are formed are added to or subtracted from the respective i -th subcarrier st_i , which the disturbance process which is illustrated in Fig. 1, based on the phase noise ϕ_{LO} of the local oscillator LO in the radio-frequency converter unit HFU being reversed by the subtraction of a test disturbance $icix$.

[0030] In order to allow the ICI disturbances $ici0$ caused by the phase noise in the oscillator LO to be established or estimated accurately, the received symbols $es'1...n$ to which the various reference disturbance information items $ici1...4$ have been applied are also linearly equalized by the equalizer unit EZ. In order to allow linear equalization to correct for the transmission characteristics of the transmission medium, the transfer function $H(f)$ of the radio channel FK is established, for example using pilot symbols. The received symbols $es'1...n$ are then multiplied by the inverse transfer function $1/H(f)$. The equalized received symbols $es''1...n$ are then supplied to the error detector unit FE.

[0031] In the error detection unit FE, the received symbols $es''1...n$ supplied to it are each compared with the next-best or most probable modulation symbol - the set of modulation symbols is in each case dependent on the modulation method used - and the subcarrier-specific error information item $\Delta\epsilon1...n$ is formed for each received symbol $es''1...n$ representing the difference or the interval between the received symbol $es''1...n$ and the next-best modulation symbol. The subcarrier-specific error information items $\Delta\epsilon1...n$ determined for each reference disturbance information item $ici1...4$ over all the subcarriers $st1...n$ are then added to form a disturbance-information-specific sum error information item $s\epsilon1...4$, where $s\epsilon1...4 = \sum |\Delta\epsilon1...n|$. The four disturbance-information-specific sum error information items $s\epsilon1...4$ defined in the four reference modules RM1...4 are each passed on to the evaluation unit ASW.

[0032] In the evaluation unit ASW, correction information ici_{opt} is derived, in accordance with the error curve illustrated in Fig. 4, from the four predetermined reference disturbance information items $ici1...4$ and from the four disturbance-information-specific sum error information items $s\epsilon1...4$ defined in the four reference modules RM1...4. The error curve at the same time

represents a correction function and is illustrated in a two-dimensional coordinate system, with the reference disturbances $ici1...4$, or the test disturbances $icix$ derived from them, being plotted on the abscissa, and the respectively defined, disturbance-information-specific sum error information items $s\epsilon1...4$ being shown on the ordinate - where $s\epsilon1...4 = \sum|\Delta\epsilon1...n(ici1...4)|$. For the exemplary embodiment it is assumed that the sums of the respective subcarrier-specific error information items $\Delta\epsilon1...n$, that is to say the disturbance-information-specific sum error information items $s\epsilon1...4 = \sum|\Delta\epsilon1...n|$, rise linearly as the ICI interference increases, that is to say as the magnitudes of the reference disturbance information items $ici1...4$ rise, since the disturbance model illustrated in Fig. 1 is based on additive disturbance terms. Ideally, when a multicarrier signal ms is received without any ICI interference, the sum of the subcarrier-specific error information items $\Delta\epsilon1...n$ has a minimum value $s\epsilon_{min}$ with the minimum value $s\epsilon_{min}$ turning to zero in an ideal communications system, without any additively superimposed Gaussian noise - AWGN - and without any estimation error $\Delta H(f)$ for the radio channel FK. In real systems, the minimum value $s\epsilon_{min}$ has a value that is not equal to zero. Due to the phase noise from the local oscillator LO in the radio-frequency-converter unit HFU, the received symbols $es1...n$ which are produced at the output of the parallel/serial converter PSW have ICI interference which cannot be recorded precisely, and which is represented by the value $ici0$ in Fig. 4. Based on this ICI interference $ici0$, which cannot be measured, this results in subcarrier-specific error information $\Delta\epsilon1...n$, whose sum $\sum|\Delta\epsilon1...n|$ gives the value $s\epsilon0$, which is likewise shown in Fig. 4, where $s\epsilon0 \geq s\epsilon_{min}$.

[0033] Figure 4 shows the intersection of the ICI interference $ici0$ which is contained in the received symbols $es1...n$ but cannot be established with any great accuracy, and the sum, resulting from this, of the subcarrier-specific error information $s\epsilon0 = \sum|\Delta\epsilon1...n(ici0)|$ by a point AP. Starting from this point, or this point of origin AP, the received symbols $es1...n$ each have the four different reference disturbance information items $ici1...4$ or test disturbances $icix$ applied to them in the described manner according to the invention - in the respective reference modules RM1...4- and the disturbance-information-specific sum error information items $s\epsilon1...4$ are then determined. As shown in Fig. 4, the first and the third reference disturbance information items $ici1,3$ each represent a very low level of ICI interference in each case with the opposite mathematical sign, while the second and the fourth reference disturbance information items $ici2,4$ each represent a relatively high ICI interference level. A linear relationship is assumed between the reference disturbance information $ici1...4$, or the disturbance signals $icix$ derived from them, and the disturbance-information-specific sum error information items $s\epsilon1...4$

resulting from them. The linear relationship is indicated in the error curve or correction function illustrated in Fig. 4 by a linear characteristic $\sum|\Delta\epsilon 1...n|$ whose gradient is S. By calculating the gradient S of the correction function, it is possible to establish from the known output variables - in this case from the reference disturbance information items $ici1...4$ - and the disturbance-information-specific sum error information items $s\epsilon 1...4$ established with the aid of the reference modules RM1...4, that correction information item ici_{opt} which gives the sum of the subcarrier-specific error information items $\sum|\Delta\epsilon 1...n(ici_{opt})|$ the minimum value $s\epsilon_{min}$; that is to say the specific correction information item ici_{opt} can be used to produce that disturbance $icix$ which minimizes the ICI interference present in the received OFDM signal.

[0034] The correction information can be derived from the known variables using the following calculation rule:

$$s\epsilon_0 = \frac{(s\epsilon 1 + s\epsilon 3)}{2} \quad (1)$$

$$\Delta s\epsilon = \frac{(s\epsilon 1 - s\epsilon 3)}{2} \quad (2)$$

$$S = \frac{\Delta s\epsilon}{ici3} = \frac{s\epsilon 1 - s\epsilon 3}{ici1 - ici3} \quad (3)$$

$$s\epsilon_{min} = s\epsilon_0 + S \cdot ici_{opt} \quad (4)$$

$$s\epsilon 4 = \epsilon_{min} - S \cdot (ici4 - ici_{opt}) \quad (5)$$

It follows from equations (1) to (5) that:

$$ici_{opt} = \left(\frac{s\epsilon 4 - s\epsilon_0}{2(s\epsilon 1 - s\epsilon 3)} \right) \cdot (ici1 - ici3) + \frac{ici4}{2} \quad (6)$$

$$ici_{opt} = \left(\frac{s\epsilon 4 - \frac{(s\epsilon 1 + s\epsilon 3)}{2}}{2(s\epsilon 1 - s\epsilon 3)} \right) \cdot (ici1 - ici3) + \frac{ici4}{2} \quad (7)$$

where $ici1, ici2 \geq 0$
 $ici3, ici4 \leq 0$

[0035] If the point of origin AP (ici_0 , $s_{\varepsilon 0}$) is in the left-hand section of the error curve or correction function $\sum |\Delta \varepsilon 1 \dots n|$, or in the second quadrant of the coordinate system, the calculation rule shown above must be adapted appropriately. The effort for calculating the correction information ici_{opt} is negligible since it is calculated only once after receiving an OFDM signal - after establishing the received symbols $es1 \dots n$.

[0036] The calculated correction information ici_{opt} is passed on to the correction unit KE. The received OFDM signal ms and the received symbols $es1 \dots n$ produced at the output of the parallel/serial converter PSW are delayed in the delay unit VE by the time constant $\Delta \tau$, with the magnitude of the time constant $\Delta \tau$ being such that the received symbols $es1 \dots n$ are not transmitted to the correction unit KE until the correction information ici_{opt} has been calculated and passed on to the correction unit KE. In the correction unit KE, the delayed received symbols $ves1 \dots n$ have the optimized disturbance $icix$ additively superimposed on them, and are thus corrected, in the manner already described. The corrected received symbols $ves'1 \dots n$ are then multiplied in the equalizer unit EZ by the inverse of the transfer function $1/H(f)$ of the radio channel FK, and are passed on to the demodulator DMOD. In the demodulator DMOD, the equalized received symbols $ves''1 \dots n$ are demodulated, and are converted to a digital datastream di .

[0037] If the ICI interference level in the received OFDM signal is very high, then, according to one advantageous development of the method according to the invention, the ICI interference caused between subcarriers that are further away - for example between the subcarriers st_{i-2} , st_i and st_{i+2} is also corrected by equalization. An interactive method could be used for this purpose, in which, in a first step, those subcarriers which are immediately adjacent in the frequency domain - in this case the subcarriers st_{i-1} , st_i and st_{i+1} - are corrected by equalization in the described manner. In a second step in the same method, the ICI interference caused by those subcarriers which are further away in the frequency domain - in this case the subcarriers st_{i-2} , st_i and st_{i+2} - is corrected by equalization. If necessary, the iteration method can also be extended to subcarriers st_{i-b} , st_i and st_{i+b} , where $b > 1$, which are further away in the frequency domain.

[0038] Furthermore, if the ICI interference level is very high, the received symbols $es1 \dots n$ may have very large symbol errors. When these received symbols $es1 \dots n$ which are subject to errors are compared with the respectively next-best modulation symbol representing the nominal value - also referred to as the estimated value - the received symbols $es1 \dots n$ may be compared with the wrong modulation symbol, which would lead to considerable errors in the

calculation of the sum of the carrier-specific error information items $\sum|\Delta\epsilon_1...n|$. Incorrect correction information ici_{opt} would be derived from the incorrectly determined disturbance-information-specific sum error information items $se_1...4 = \sum|\Delta\epsilon_1...n|$. In the worst case, this would cause an increase in the bit errors in the demodulated datastream di .

[0039] According to a further advantageous refinement of the method according to the invention - not illustrated - an error handling routine - also referred to as Forward Error Correction, FEC - is provided, which is used to investigate the demodulated datastream d_i for any bit errors which may have occurred. According to this advantageous refinement of the method according to the invention, an additional interaction method is carried out when big errors are identified, in which incorrectly identified received symbols are corrected and the sum of the carrier-specific error information items $\sum |\Delta \varepsilon_{1...n}|$ is formed once again using the corrected received symbols. This embodiment variant can be used in particular for modulation methods having a relatively large number of stages.

[0040] According to one further refinement variant of the method according to the invention, only some of the received symbols $es_1 \dots n$ derived from the received multicarrier signal ms are used for establishing the correction information ici_{opt} , thus minimizing the complexity for calculating the correction information ici_{opt} , and hence minimizing the delay to the received multicarrier signal ms , that is to say the delay constant $\Delta\tau$.

[0041] According to one advantageous development, the method according to the invention is used together with an error handling routine. In this case, no equalization of the ICI interference in the received multicarrier signal is carried out initially. In a first step, the received multicarrier signal is first of all demodulated, and the demodulated datastream d_i is then investigated for bit errors, using the error handling routine. Only if bit errors that are identified can no longer be corrected is the method according to the invention carried out, with bit errors that have been identified, that is to say incorrect received symbols $es_1 \dots n$, not being included in the formation of the disturbance-information-specific sum error information items $se_1 \dots 4 = \sum |\Delta \varepsilon_1 \dots n|$. This may be done, for example, by masking out the incorrect subcarriers $st_1 \dots n$ or received symbols $es_1 \dots n$ or by appropriate correction of the faulty received symbols $es_1 \dots n$. This advantageous development can be repeated iteratively until all the ICI interference has been corrected by equalization.

[0042] According to one alternative refinement variant of the method according to the invention, based on the error curve illustrated in Fig. 4, the minimum sum ε_{\min} of the subcarrier-specific error information items $\sum |\Delta \varepsilon_1 \dots n|$ is determined by an iterative search - with a defined step width - using two small reference disturbance information items $ici_1, 3$ or test disturbances.

SUBSTITUTE ABSTRACT

ABSTRACT OF DISCLOSURE

METHOD AND SYSTEM FOR RECEIVING MULTICARRIER SIGNALS HAVING A NUMBER OF FREQUENCY-DISCRETE SUBCARRIERS

In a received multicarrier signal which is subject to subcarrier-specific disturbances caused by adjacent subcarriers, the subcarriers are additionally deliberately subjected to disturbances, and correction information which represents the carrier-specific disturbances is derived from the subcarriers which have been additionally deliberately subjected to disturbances and is then used to correct the received subcarriers. Low-cost oscillators can advantageously be used to provide cheap transmitting and receiving units.

Marked-up Substitute Specification

[Description]

TITLE OF THE INVENTION

[METHOD, USE OF THE] METHOD AND [A RECEIVING ARRANGEMENT]
SYSTEM FOR RECEIVING MULTICARRIER SIGNALS HAVING
A NUMBER OF FREQUENCY-DISCRETE SUBCARRIERS

BACKGROUND OF THE INVENTION

1. Field of the Invention

[0001] The present invention is directed to wireless communications networks, based on radio channels, in particular in point-to-multipoint radio feeder networks.

2. Description of the Related Art

[0002] In wireless communications networks, based on radio channels, in particular in point-to-multipoint radio feeder networks - also referred to as "Radio In The Local Loop" or "RLL" - a number of network termination units are each connected via one or more radio channels to a base station - also referred to as "Radio Base Station" or "RBS". For example, a wireless feeder network for wireless voice and data communication is described on pages 36, 37 of telecom report No. 18 (1995), Issue 1 "Drahtlos zum Freizeichen" [Wireless for calling] which means "Wireless for Calling". The described communications system is an RLL subscriber access in conjunction with a modern broadband infrastructure - for example "Fiber to the curb" - which can be implemented in a short time and without major effort, instead of laying wire-based connecting cables. The network termination units RNT associated with the individual subscribers are connected via the "radio channel" transmission medium and the base station RBS to a higher-level communications network, for example to the ISDN-oriented landline network.

[0003] The increasingly widespread use of multimedia applications means that high-bit-rate data streams have to be transmitted quickly and reliably via communications networks, in particular via wireless communications networks and via mobile radio systems, with the radio transmission systems, which are based on a "radio channel" transmission medium which is susceptible to disturbances and whose transmission quality is difficult to assess, being subject

to stringent requirements. One transmission method for transmitting broadband data streams - for example video data streams - is, for example, the OFDM transmission method which is based on a multicarrier method - also referred to as Orthogonal Frequency Division Multiplexing OFDM. In the OFDM transmission technique, the information to be transmitted or the data stream to be transmitted is subdivided or parallelized between a number of subchannels or subcarriers within the radio channel, with the information to be transmitted in each case being transmitted at a relatively low data rate, but in parallel in an additively superimposed form. The OFDM transmission technique is used, for example, for digital terrestrial broadcast radio - also referred to as Digital Audio Broadcasting DAB - and for digital terrestrial television - also referred to as Digital Terrestrial Video Broadcasting DTVB. In particular, the OFDM transmission technique is intended to be used in future wireless local communications networks - also referred to as Wireless LAN or WLAN - and in future mobile radio communications networks - for example UMTS. The OFDM transmission technique will also be used in future access methods such as MC-SSMA - Multi-Carrier Spread Spectrum Multiple Access - or MC-CDMA - Multi-Carrier CDMA.

[0004] The OFDM transmission method is described in more detail in Figure 6 on page 46 of the document "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen" [Reports from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications], Professor H. Rohling, Year XXXI, Issue 1-1996, the title of which means "Reports from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications". In this case, based on a serial datastream in the transmitter, serial/parallel conversion is carried out for modulation of the, for example, n subcarriers, with a binary code word with a word length k - the word length k is dependent on the modulation method used - being formed in each case for the i -th OFDM block in time with the block length T' and the j -th subcarrier. A transmitter-specific modulation method is used to form the corresponding complex modulation symbols - also referred to as transmission symbols in the following text - from the code words that have been formed, with a transmission symbol being allocated to each of the k subcarriers at each time i . The separation between the individual subcarriers is defined by $\Delta f = 1/T'$, thus guaranteeing the orthogonality of the individual subcarrier signals in the interval $[0, T']$ $(0, T')$ that is used. The oscillations of the individual subcarriers are multiplied by the corresponding modulation symbols or transmission symbols, and the modulation products that are formed are then added, to produce the corresponding time-discrete transmission signal for the i -th OFDM block in time. This transmission signal is calculated directly from the modulation

symbols or transmission symbols of the individual subcarriers under consideration by Inverse, Discrete Fourier Transformation - IDFT. In order to minimize intersymbol interference, each OFDM block is preceded by a guard interval T_G in the time domain which results in the time-discrete OFDM signal being lengthened in the interval $[-T_G, 0]$ $(-T_G, 0)$ - see "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen" [Report from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications], which means "Report from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications," Figure 7. The guard interval T_G that is inserted advantageously corresponds to the maximum delay time difference that occurs between the individual propagation paths which occur during radio transmission. The removal of the added guard interval T_G at the receiver end avoids, for example, any interference between the i -th OFDM block and the OFDM signal which is adjacent in time at the time $i-1$, so that the transmission signal is received over all the circuitous paths in the interval $[0, T']$ $(0, T')$, and the orthogonality is fully maintained between the subcarriers in the receiver. If there are a large number of subcarriers - for example $n = 256$ subcarriers - and the symbol durations $T = T' + T_G$ are correspondingly long, then the duration T_G is short in comparison to T , so that the insertion of the guard interval efficiently has no significant adverse effect on the bandwidth, and the resultant overhead is only small. After sampling of the transmission signal, received at the input of the receiver in baseband - by an A/D converter - and after extraction of the interval being used - that is to say after removal of the guard interval T_G - a Discrete Fourier Transformation - DFT - is used to transform the received transmission signal to the frequency domain, that is to say the received modulation symbols and the received symbols are established. A suitable demodulation method is used to produce the corresponding received code words from the established received symbols and the received serial datastream is formed by parallel/serial conversion from these code words. The avoidance of intersymbol interference when using OFDM transmission methods considerably reduces the computation effort in the respective receiver, as a result of which the OFDM transmission technique is used, for example, for terrestrial transmission of digital television signals - for example for transmission of broadband datastreams at a transmission rate of 34 Mbps per radio channel.

[0005] Absolute or differential modulation methods and corresponding coherent or incoherent demodulation methods are used for transmission of the serial datastream to be transmitted using the OFDM transmission method. Examples of an absolute modulation method include 4-QAM or 16-QAM - Quadrature Amplitude Modulation. Although the orthogonality of the

subcarriers is fully maintained when the OFDM transmission method is used to transmit the transmission signal that has been formed via the only "radio channel" transmission medium, the transmission characteristics of the radio channel result in the transmitted, frequency-discrete or frequency-selective transmission symbols being varied both in phase and amplitude. The amplitude and phase influence of the radio channel affects the individual subcarriers, which each have a very narrow bandwidth, on a subcarrier-specific basis; furthermore, noise signals are additively superimposed on the transmitted user signal. When using coherent demodulation methods, channel estimation is required which, depending on the quality requirements, involves a considerable technical and financial implementation penalty and, furthermore, reduces the performance of the transmission system. It is advantageous to use differential modulation methods and corresponding incoherent demodulation methods in which there is no need for complex radio channel estimation. In differential modulation methods the information to be transmitted is not transmitted directly by selection of the modulation symbols or of the frequency-discrete transmission symbols, but by changing the frequency-discrete transmission symbols, which are adjacent in time, on the same subcarrier. Examples of differential modulation methods include 64-step 64-DPSK - Differential Phase Shift Keying - and 64-DAPSK - Differential Amplitude and Phase Shift Keying. In 64-DAPSK, both the amplitude and the phase are differentially modulated at the same time.

[0006] If there are major delay time differences between the individual signal paths, that is to say when severe multi-path propagation occurs, different attenuation levels, dependent on the transmission channel, with attenuation differences of up to 20 dB or more, may occur between the individual received subcarriers. The received subcarriers having high attenuation levels, or subcarriers with low S/N ratios - also referred to as the signal power-to-noise power ratio - have a very high symbol error rate, as a result of which the overall bit error rate over all the subcarriers rises considerably. In the case of subcarriers modulated using coherent modulation methods, it is already known for the attenuation losses caused by the frequency-selective transmission characteristics of the transmission medium - also referred to as the transfer function $H(f)$ - to be corrected at the receiving end by using the inverse transfer function - also referred to as $1/H(f)$, with the frequency-selective attenuation losses being determined, for example, by evaluating transmitted reference pilot tones, which are each associated with specific subcarriers.

[0007] Normally, OFDM signals arriving at a receiver are mixed down to the intermediate frequency band or baseband by [means of] a local oscillator [arranged] in a radio-frequency unit - also referred to as an RF frontend.

[0008] The respective local oscillators [arranged] at the transmitting end and at the receiving end, have different frequency fluctuations and different phase noise depending on the quality and the Q factor. OFDM signals in particular are highly susceptible to frequency fluctuations and phase noise, which are produced in particular in low-cost LO oscillators, so they result in loss of the orthogonality between the adjacent subcarriers [arranged adjacent] in the frequency domain. The phase noise from a local oscillator causes disturbances in the demodulated baseband signal resulting, in particular, in the production of a Common Phase Error - also referred to as CPE interference or disturbances - and "Inter Carrier Interference" - also referred to as ICI disturbances in the baseband signal.

[0009] CPE disturbances rotate all the subcarriers in an OFDM received signal through a constant phase difference, in which case the phase difference can be estimated, and the OFDM signal can be corrected accordingly, with minimal effort. On the other hand, ICI disturbances cause mutual interference between the adjacent subcarriers [arranged adjacent] in the frequency domain, with the respective extent of such disturbances being dependent on the nature of the information being transmitted. ICI disturbances are produced in the convolution of the individual subcarriers with the local oscillator carrier signal, which is subject to phase noise. If the same information is transmitted on each subcarrier, the same ICI interference is additively superimposed on each subcarrier. During normal operation, each subcarrier is subject to different amplitude fluctuations, which result in different ICI disturbances being produced in the individual subcarriers, depending on the modulation method being used and on the data being transmitted. The received OFDM signal is a complicated additive superimposition of a very large number of signal elements, so that the ICI interference can be established directly only by increased effort.

[0010] Oscillators with low phase noise - also referred to as pure phase oscillators - are available, but these are either very expensive or have a minimal trimming range, and which thus require complex additional baseband circuits.

SUMMARY OF THE INVENTION

[0011] The invention is based on the object of designing a low-cost [means] way of transmitting information using a multicarrier method and, in particular, of achieving effective utilization of the transmission resources available in the transmission medium. [Based on a method and a receiving arrangement according to the features of the precharacterizing clauses of patent claims 1 and 15, the object is achieved by the characterizing features of these claims.]

[0012] In the method according to the invention for receiving a multicarrier signal having a number of frequency-discrete subcarriers, the information to be transmitted is converted by [means of] a multicarrier method to frequency-discrete modulation symbols, and is inserted into the multicarrier signal. The individual frequency-discrete subcarriers of the multicarrier signal transmitted via a transmitter medium are each subject to subcarrier-specific disturbances caused by adjacent subcarriers [arranged adjacent] in the frequency domain. The major aspect of the method according to the invention is that the subcarriers in the received multicarrier signal are additionally deliberately subjected to test disturbances. The modulation symbols contained in the deliberately disturbed multicarrier signal are compared with undisturbed modulation-specific modulation symbols, and [that correction] subcarrier-specific error information is derived from the comparison results. Correction information which represents subcarrier-specific disturbances is derived [from the subcarriers which have been additionally deliberately subjected to disturbances] as a function of the predetermined test disturbances and as a function of the derived subcarrier-specific error information. The [received, frequency-discrete] subcarriers in the received multicarrier signal are [then] corrected in accordance with the determined correction information.

[0013] The major advantage of the method according to the invention is that the compensation according to the invention for the subcarrier-specific disturbances or ICI disturbances contained in the received multicarrier signal means that particularly low-cost local oscillators can be used in the respective transmitting and receiving devices. Such oscillators may, for example, be based on gallium arsenide and can be produced with the minimum financial cost and technical complexity using MMIC. Furthermore, no additional insertion of redundant information is required at the transmission end for estimation of the ICI disturbances or to establish correction information in order to implement the method according to the invention, thus allowing effective utilization of the transmission resources available in the transmission medium to be achieved.

[0014] The received symbols which represent frequency-discrete subcarriers are advantageously derived from the received multicarrier signal. In this advantageous refinement, k differently defined reference disturbance information items are provided, in which case, for each reference disturbance information item, the received symbols in the subcarriers which are in each case [arranged] adjacent [around] to at least some of the subcarriers in the frequency domain are each subjected to disturbances from the reference disturbance information, and the disturbed received symbols in the adjacent subcarriers are then additively superimposed (a) as deliberate test disturbances on the received symbol in the additionally disturbed subcarrier. The additionally deliberately disturbed received symbols are each compared with the closest modulation-specific modulation symbol, and subcarrier-specific error information is formed (b) as a function of the comparison results, and disturbance-information-specific sum error information is formed (c) from the subcarrier-specific error information. The k reference disturbance information items and the k sum error information items are then used to derive (d) the correction information [- claim 3]. This advantageous refinement allows the correction information for estimating the ICI disturbances to be established very accurately, since the correction information has been derived by averaging over all the subcarriers in the received multicarrier signal.

[0015] According to one advantageous refinement of the method according to the invention, the correction information (ici_{opt}) is determined in the course of an iterative search, with the k reference disturbance information items ($ici1...4$) being established in the course of the iterative search, and steps (a) to (c) being repeated until a minimum value of the disturbance-information-specific sum error information (ε_{min}) is determined, and the correction information (ici_{opt}) has been derived from this [- claim 7]. Determination of correction information (ici_{opt}) using the iterative search represents a highly stable method.

[0016] According to a further advantageous refinement of the method according to the invention, the additionally deliberately disturbed received symbols are in each case corrected by equalization as a function of frequency-selective transmission characteristics of the transmission medium before the comparison with the respective closest modulation-specific modulation symbol [- claim 8]. Equalizing the received multicarrier signal to correct for the frequency-selective transmission characteristics of the transmission medium means that any errors which may have occurred in the comparison of the deliberately disturbed received symbols with the respective closest modulation-specific modulation symbols are minimized, and the quality of the determined correction information is thus improved.

[0017] Advantageously, once steps (a) to (d) have each been carried out for each reference disturbance information item the received symbols of the subcarriers which are each [arranged] further away from at least some of the subcarriers in the frequency domain are each subjected to disturbances from the reference disturbance information, and the disturbed received symbols are then additively superimposed as deliberate test disturbances on the received symbol of the additionally disturbed subcarrier (a'). Steps (b) to (d) are then carried out [- claim 9]. Giving additional consideration to those subcarrier-specific disturbances which are in each case caused by adjacent carriers that are further away in the frequency domain further improves the quality of the determined correction information.

[0018] In order to achieve a further improvement in the process of establishing the correction information, according to a further advantageous refinement of the method according to the invention, the received symbols which have been corrected using the correction information are demodulated. Errors are identified in the demodulated received symbols using error identification information inserted into the transmitted information, and identified, erroneous received symbols are corrected. When errors are identified, steps (b) to (d) are carried out once again, with the corrected received symbols being used for determining the correction information [- claim 10].

[0019] Further advantageous refinements of the method and system according to the invention[, as well as the use of the method according to the invention and a receiving arrangement] for receiving a multicarrier signal having a number of frequency-discrete subcarriers [can be found in the further claims] are described below.

BRIEF DESCRIPTION OF THE DRAWINGS

[0020] The method according to the invention will be explained in more detail in the following text with reference to four drawings, in which:

Figure 1 [shows] is graph of a disturbance model on which the method according to the invention is based, which is used to illustrate the mutual subcarrier-specific interference between subcarriers in a multicarrier signal which are [arranged] adjacent in the frequency domain,

Figure 2 [shows] is a block diagram of a circuit [arrangement] using the method according to the invention,

Figure 3 [shows] is a block diagram of an advantageous refinement of a circuit [arrangement] for additive superimposition of reference disturbance information, and test disturbances derived from it, on the respective subcarriers in a received multicarrier signal, and

Figure 4 [shows an illustration, in the form of] is a graph[,] of an error curve or correction function from which the correction information to minimize the subcarrier-specific disturbances in a received multicarrier signal is derived.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0021] Figure 1 [shows] is graph of a disturbance model, [arranged] in the frequency domain, to illustrate the problem on which the method according to the invention is based. The disturbance model shows, in detail form, a number of subcarriers st_{i-1} , st_i , st_{i+1} in a multicarrier signal ms which has, in particular, n subcarriers $st_1...n$ and is formed using a multicarrier method. It is assumed in the following text that the multicarrier signal is produced using an OFDM transmission method. Originating from each subcarrier st_i , subcarrier-specific disturbances $icix$ are produced in those subcarriers st_{i-1} and st_{i+1} which are [arranged] adjacent in the frequency domain, and these disturbances are indicated by small arrows in the disturbance model. Conversely, the centrally arranged i -th subcarrier st_i is influenced by the subcarrier-specific disturbances - indicated by $icix_{-1}$ and $icix_{+1}$ in [figure] Fig. 1 - caused by the two adjacent subcarriers st_{i-1} and st_{i+1} , with the respective i -th subcarrier st_i in each case having the subcarrier-specific disturbances $icix_{-1}$, $icix_{+1}$ which are produced actively superimposed on it. As shown in [Figure] Fig. 1, the received multicarrier signal ms is a complicated superimposition of a very large number of signal elements, so that it is no longer possible to establish directly the subcarrier-specific disturbances $icix$ originating from the individual subcarriers $st_1...n$.

[0022] Figure 2 [shows, in the form of] is a block diagram[,] of a circuit [arrangement which is arranged] in a receiving unit E and by [means of] which the subcarrier-specific disturbances $icix$ - also referred to as ICI disturbances in the following text - contained in the received OFDM signal ms are estimated, with the received OFDM signal ms then being corrected by equalization as a function of the estimation result. The block diagram shows a receiving unit E which has a receiving antenna A and may, for example, be a modular component in base stations or network termination units, which act as receiving systems in wire-
less communications networks. A radio-frequency converter unit HFU is connected via an input EH to the receiving antenna A which is fitted externally on the receiving unit E . A local oscillator

LO [is arranged] in the radio-frequency converter unit HFU[, and] has oscillator-specific phase noise ϕ_{LO} . The radio-frequency converter HFU is connected via an output AH to an input EW of a converter unit WAS. The converter unit WAS [has means for] performs analog/digital conversion and [for] subsequent serial/parallel conversion (A/D, S/P) of an incoming received signal ms'. The converter unit WAS has n outputs AW1...n, which are connected to corresponding inputs EF1...n of a transformation unit FFT for carrying out a discrete "Fast Fourier Transformation". The transformation unit FFT is connected via n outputs AF1...n to corresponding inputs EP1...n of a parallel/serial converter PSW.

[0023] The parallel serial converter PSW is connected via an output AP to one input ER of each of four parallel-arranged reference modules RM1...4, by [means of] which four defined disturbance signals, or reference disturbance information items ici1...4 representing them, are added to the received OFDM signal ms. To do this, each of the four reference modules RM1...4 has a disturbance unit STE, each of which is associated with one of the reference disturbance information items ici1...4, and by [means of] which the individual subcarriers st1...n in the received OFDM signal ms have the respectively associated reference disturbance information ici1...4 additively superimposed on them. Each reference module RM1...4 also has an equalizer unit EZ for linear equalization of the received OFDM signal for the radio channel characteristics H(f), and an error detector unit FE in order to establish disturbance-information-specific sum error information se1...4. Each error detector unit FE is connected via an output AF to an output AR of the respective reference module RM1...4. Each of the four reference modules RM1...4 is connected via the output AR to an input EA1...4 of an evaluation unit ASW.

[0024] The output AP of the parallel/serial converter PSW is also connected to an input EV of a delay unit VE, which delays the received OFDM signal ms by a predetermined time constant $\Delta\tau$. The delay unit VE is connected via an output AV to the input EK of correction unit KE. The correction unit KE has a control input SE, which is connected to a control output SA of the evaluation unit ASW. The correction unit KE is connected via an output AK to an input EE of a further equalizer unit EZ, which is connected via an output AE to an input AD of a demodulator DMOD. The demodulator DMOD has an output AD, to which the demodulated received signal is passed on as a digital data signal di.

[0025] The method according to the invention will be explained in the following text with reference to the circuit [arrangement] illustrated in [Figure] Fig. 2.

[0026] In a transmitter which is not illustrated, a multicarrier method, for example, an OFDM transmission method, is used to convert the information to be transmitted, by [means of] a phase-modulating modulation method - for example 4QAM or 16QAM - to corresponding modulation symbols, which are then converted to an OFDM signal ms , which has a number of frequency-discrete subcarriers $st_1 \dots n$, and this is transmitted via the "radio channel" transmission medium FK to the receiving unit E. The radio channel FK has frequency-selective transmission characteristics $H(f)$, which distort the amplitude and phase of the OFDM signal ms . The transmitted OFDM signal ms is received via the receiving antenna A, which is [arranged] mounted externally on the receiving unit E, and is supplied to the radio-frequency converter unit HFU. The received OFDM signal ms is down-mixed to the intermediate-frequency band by the local oscillator LO[, arranged] in the radio-frequency converter unit HFU, with the phase noise ϕ_{LO} in the local oscillator LO producing the subcarrier-specific disturbances ic_{ix} in the individual subcarriers $st_1 \dots n$ in the received OFDM signal ms . The OFDM signal ms' which has been down-mixed to the intermediate-frequency band, is analog/digital converted by the converter unit WAS, and is then parallelized by serial/parallel conversion to corresponding n -time-discrete samples $zs_1 \dots n$ which represent the digital OFDM signal. The discrete "Fast Fourier Transformation" that is carried out in the transformation unit FFT is used to calculate the corresponding n received symbols $es_1 \dots n$ from the n time-discrete samples $zs_1 \dots n$, and these are then converted by the parallel/serial converter PSW to a serial datastream $es_1 \dots n$. It should be noted that the serial/parallel and parallel/serial converter illustrated in [Figure] Fig. 2 is not absolutely essential, since many modern microprocessors already process the incoming and outgoing information in serial form in order to carry out the "Fast Fourier Transformation". The received symbols $es_1 \dots n$ which are each passed to the output AW of the parallel/serial converter PSW and represent the currently received subcarriers $st_1 \dots n$ in the received OFDM signal ms , are each supplied to the four reference modules RM1...4.

[0027] The operation of the reference modules RM1...4 will be explained in more detail in the following text.

[0028] The disturbance units STE [which are arranged] in the reference modules RM1...4 are used in each case to superimpose reference disturbance information $ici_1 \dots 4$, which represents subcarrier-specific disturbances ic_{ix} , on the transmitted received symbols $es_1 \dots n$. To this end, using the reference disturbance information $ici_1 \dots 4$, subcarrier-specific disturbances ic_{ix-1} , ic_{ix+1} - also referred to as defined test disturbances - are derived from the respective subcarriers st_{i-1} , st_{i+1} [arranged] adjacent [around] to an i -th subcarrier st_i , for example by multiplication by the

reference disturbance information $ici1...4$ - and the two derived test disturbances $icix_{-1}$, $icix_{+1}$, are then additively superimposed on the centrally arranged i -th subcarrier st_i .

[0029] By way of example, [Figure] Fig. 3 shows a circuitry embodiment of the disturbance unit STE for forming the test disturbances $icix$ and for additively superimposing the test disturbances $icix$ that are formed on the subcarriers $st1...n$. The disturbance unit STE has three timers $T1...3$, which are used to delay the received symbols $es1...n$, which arrive in serial form and represent the individual subcarriers $st1...n$. Arranging the three timers $T1...3$ in series means that three subcarriers st_{i-1} , st_i and st_{i+1} , which are [arranged] adjacent in the frequency domain and are represented by the received symbols $es1...n$, are in each case available at the same time. The first and the third timer $T1$, $T3$ are each connected via an output AT to an input EM of a multiplier M , which is used to multiply the respective received symbol $es1...n$ currently stored in the corresponding timer $T1$, $T3$ by the reference disturbance information $ici1...4$ associated with the respective reference module $RM1...4$. The two multipliers M are connected via in each case one output AM to inputs EA of an adder ADD , to which an output AT of the second timer $T2$ is also connected. The circuit [arrangement] illustrated in [Figure] Fig. 3 is used to multiply the respective subcarriers st_{i-1} , st_{i+1} , which are [arranged] adjacent about an i -th subcarrier, or the received symbols $es1...n$ representing them by the respectively associated reference disturbance information $ici1...4$, and the two multiplication products which each represent test disturbances $icix_{-1}$, $icix_{+1}$ added to the i -th subcarrier st_i , or to the received symbol $es1...n$ representing it. Depending on the respective mathematical sign of the individual reference disturbance information items $ici1...4$, the test disturbances $icix_{-1}$, $icix_{+1}$ which are formed are added to or subtracted from the respective i -th subcarrier st_i , which the disturbance process which is illustrated in [Figure] Fig. 1, based on the phase noise ϕ_{LO} of the local oscillator LO , [arranged] in the radio-frequency converter unit HFU , being reversed by the subtraction of a test disturbance $icix$.

[0030] In order to allow the ICI disturbances $ici0$ caused by the phase noise in the oscillator LO to be established or estimated accurately, the received symbols $es'1...n$ to which the various reference disturbance information items $ici1...4$ have been applied are also linearly equalized by the equalizer unit EZ . In order to allow linear equalization to correct for the transmission characteristics of the transmission medium, the transfer function $H(f)$ of the radio channel FK is established, for example using pilot symbols. The received symbols $es'1...n$ are then multiplied by the inverse transfer function $1/H(f)$. The equalized received symbols $es''1...n$ are then supplied to the error detector unit FE .

[0031] In the error detection unit FE, the received symbols $es''1...n$ supplied to it are each compared with the next-best or most probable modulation symbol - the set of modulation symbols is in each case dependent on the modulation method used - and the subcarrier-specific error information item $\Delta\epsilon1...n$ is formed for each received symbol $es''1...n$ representing the difference or the interval between the received symbol $es''1...n$ and the next-best modulation symbol. The subcarrier-specific error information items $\Delta\epsilon1...n$ determined for each reference disturbance information item $ici1...4$ over all the subcarriers $st1...n$ are then added to form a disturbance-information-specific sum error information item $s\epsilon1...4$, where $s\epsilon1...4 = \sum|\Delta\epsilon1...n|$. The four disturbance-information-specific sum error information items $s\epsilon1...4$ defined in the four reference modules RM1...4 are each passed on to the evaluation unit ASW.

[0032] In the evaluation unit ASW, correction information ici_{opt} is derived, in accordance with the error curve illustrated in [Figure] Fig. 4, from the four predetermined reference disturbance information items $ici1...4$ and from the four disturbance-information-specific sum error information items $s\epsilon1...4$ defined in the four reference modules RM1...4. The error curve at the same time represents a correction function and is illustrated in a two-dimensional coordinate system, with the reference disturbances $ici1...4$, or the test disturbances $icix$ derived from them, being plotted on the abscissa, and the respectively defined, disturbance-information-specific sum error information items $s\epsilon1...4$ being shown on the ordinate - where $s\epsilon1...4 = \sum|\Delta\epsilon1...n(ici1...4)|$. For the exemplary embodiment it is assumed that the sums of the respective subcarrier-specific error information items $\Delta\epsilon1...n$, that is to say the disturbance-information-specific sum error information items $s\epsilon1...4 = \sum|\Delta\epsilon1...n|$, rise linearly as the ICI interference increases, that is to say as the magnitudes of the reference disturbance information items $ici1...4$ rise, since the disturbance model illustrated in [Figure] Fig. 1 is based on additive disturbance terms. Ideally, when a multicarrier signal ms is received without any ICI interference, the sum of the subcarrier-specific error information items $\Delta\epsilon1...n$ has a minimum value $s\epsilon_{min}$ with the minimum value $s\epsilon_{min}$ turning to zero in an ideal communications system, without any additively superimposed Gaussian noise - AWGN - and without any estimation error $\Delta H(f)$ for the radio channel FK. In real systems, the minimum value $s\epsilon_{min}$ has a value that is not equal to zero. Due to the phase noise from the local oscillator LO [arranged on] in the radio-frequency-converter unit HFU, the received symbols $es1...n$ which are produced at the output of the parallel/serial converter PSW have ICI interference which cannot be recorded precisely, and which is represented by the value $ici0$ in [Figure] Fig. 4. Based on this ICI interference $ici0$,

which cannot be measured, this results in subcarrier-specific error information $\Delta\epsilon_1 \dots n$, whose sum $\sum |\Delta\epsilon_1 \dots n|$ gives the value $s_{\epsilon 0}$, which is likewise shown in [Figure] Fig. 4, where $s_{\epsilon 0} \geq s_{\epsilon \min}$.

[0033] Figure 4 shows the intersection of the ICI interference ici_0 which is contained in the received symbols $es_1 \dots n$ but cannot be established with any great accuracy, and the sum, resulting from this, of the subcarrier-specific error information $s_{\epsilon 0} = \sum |\Delta\epsilon_1 \dots n(ici_0)|$ by a point AP. Starting from this point, or this point of origin AP, the received symbols $es_1 \dots n$ each have the four different reference disturbance information items $ici_1 \dots 4$ or test disturbances $icix$ applied to them in the described manner according to the invention - in the respective reference modules RM1...4- and the disturbance-information-specific sum error information items $s_{\epsilon 1} \dots 4$ are then determined. As shown in [Figure] Fig. 4, the first and the third reference disturbance information items $ici_{1,3}$ each represent a very low level of ICI interference in each case with the opposite mathematical sign, while the second and the fourth reference disturbance information items $ici_{2,4}$ each represent a relatively high ICI interference level. A linear relationship is assumed between the reference disturbance information $ici_1 \dots 4$, or the disturbance signals $icix$ derived from them, and the disturbance-information-specific sum error information items $s_{\epsilon 1} \dots 4$ resulting from them. The linear relationship is indicated in the error curve or correction function illustrated in [Figure] Fig. 4 by [means of] a linear characteristic $\sum |\Delta\epsilon_1 \dots n|$ whose gradient is S. By calculating the gradient S of the correction function, it is possible to establish from the known output variables - in this case from the reference disturbance information items $ici_1 \dots 4$ - and the disturbance-information-specific sum error information items $s_{\epsilon 1} \dots 4$ established with the aid of the reference modules RM1...4, that correction information item ici_{opt} which gives the sum of the subcarrier-specific error information items $\sum |\Delta\epsilon_1 \dots n(ici_{opt})|$ the minimum value $s_{\epsilon \min}$; that is to say the specific correction information item ici_{opt} can be used to produce that disturbance $icix$ which minimizes the ICI interference present in the received OFDM signal.

[0034] The correction information can be derived from the known variables using the following calculation rule:

$$s\varepsilon_0 = \frac{(s\varepsilon_1 + s\varepsilon_3)}{2} \quad (1)$$

$$\Delta s\varepsilon = \frac{(s\varepsilon_1 - s\varepsilon_3)}{2} \quad (2)$$

$$S = \frac{\Delta s\varepsilon}{ici_3} = \frac{s\varepsilon_1 - s\varepsilon_3}{ici_1 - ici_3} \quad (3)$$

$$s\varepsilon_{\min} = s\varepsilon_0 + S \cdot ici_{opt} \quad (4)$$

$$s\varepsilon_4 = \varepsilon_{\min} - S \cdot (ici_4 - ici_{opt}) \quad (5)$$

It follows from equations (1) to (5) that:

$$ici_{opt} = \left(\frac{s\varepsilon_4 - s\varepsilon_0}{2(s\varepsilon_1 - s\varepsilon_3)} \right) \cdot (ici_1 - ici_3) + \frac{ici_4}{2} \quad (6)$$

$$ici_{opt} = \left(\frac{s\varepsilon_4 - \frac{(s\varepsilon_1 + s\varepsilon_3)}{2}}{2(s\varepsilon_1 - s\varepsilon_3)} \right) \cdot (ici_1 - ici_3) + \frac{ici_4}{2} \quad (7)$$

where $ici_1, ici_2 \geq 0$
 $ici_3, ici_4 \leq 0$

[0035] If the point of origin AP ($ici_0, s\varepsilon_0$) is in the left-hand section of the error curve or correction function $\sum|\Delta\varepsilon_1...n|$, or in the second quadrant of the coordinate system, the calculation rule shown above must be adapted appropriately. The effort for calculating the correction information ici_{opt} is negligible since it is calculated only once after receiving an OFDM signal - after establishing the received symbols $es_1...n$.

[0036] The calculated correction information ici_{opt} is passed on to the correction unit KE. The received OFDM signal ms and the received symbols $es_1...n$ produced at the output of the parallel/serial converter PSW are delayed in the delay unit VE by the time constant $\Delta\tau$, with the magnitude of the time constant $\Delta\tau$ being such that the received symbols $es_1...n$ are not transmitted to the correction unit KE until the correction information ici_{opt} has been calculated and passed on to the correction unit KE. In the correction unit KE, the delayed received symbols $ves_1...n$ have the optimized disturbance $icix$ additively superimposed on them, and are

thus corrected, in the manner already described. The corrected received symbols $ves'1...n$ are then multiplied in the equalizer unit EZ by the inverse of the transfer function $1/H(f)$ of the radio channel FK, and are passed on to the demodulator DMOD. In the demodulator DMOD, the equalized received symbols $ves''1...n$ are demodulated, and are converted to a digital datastream di .

[0037] If the ICI interference level in the received OFDM signal is very high, then, according to one advantageous development of the method according to the invention, the ICI interference caused between subcarriers that are further away - for example between the subcarriers st_{i-2} , st_i and st_{i+2} is also corrected by equalization. An interactive method could be used for this purpose, in which, in a first step, those subcarriers which are [arranged] immediately adjacent in the frequency domain - in this case the subcarriers st_{i-1} , st_i and st_{i+1} - are corrected by equalization in the described manner. In a second step in the same method, the ICI interference caused by those subcarriers which are [arranged] further away in the frequency domain - in this case the subcarriers st_{i-2} , st_i and st_{i+2} - is corrected by equalization. If necessary, the iteration method can also be extended to subcarriers st_{i-b} , st_i and st_{i+b} , where $b > 1$, which are [arranged] further away in the frequency domain.

[0038] Furthermore, if the ICI interference level is very high, the received symbols $es1...n$ may have very large symbol errors. When these received symbols $es1...n$ which are subject to errors are compared with the respectively next-best modulation symbol representing the nominal value - also referred to as the estimated value - the received symbols $es1...n$ may be compared with the wrong modulation symbol, which would lead to considerable errors in the calculation of the sum of the carrier-specific error information items $\sum|\Delta\epsilon1...n|$. Incorrect correction information ici_{opt} would be derived from the incorrectly determined disturbance-information-specific sum error information items $se1...4 = \sum|\Delta\epsilon1...n|$. In the worst case, this would cause an increase in the bit errors in the demodulated datastream di .

[0039] According to a further advantageous refinement of the method according to the invention - not illustrated - an error handling routine - also referred to as Forward Error Correction, FEC - is provided, which is used to investigate the demodulated datastream di for any bit errors which may have occurred. According to this advantageous refinement of the method according to the invention, an additional interaction method is carried out when big errors are identified, in which incorrectly identified received symbols are corrected and the sum of the carrier-specific error information items $\sum|\Delta\epsilon1...n|$ is formed once again using the corrected received symbols. This

embodiment variant can be used in particular for modulation methods having a relatively large number of stages.

[0040] According to one further refinement variant of the method according to the invention, only some of the received symbols $es1...n$ derived from the received multicarrier signal ms are used for establishing the correction information ici_{opt} , thus minimizing the complexity for calculating the correction information ici_{opt} , and hence minimizing the delay to the received multicarrier signal ms , that is to say the delay constant $\Delta\tau$.

[0041] According to one advantageous development, the method according to the invention is used together with an error handling routine. In this case, no equalization of the ICI interference in the received multicarrier signal is carried out initially. In a first step, the received multicarrier signal is first of all demodulated, and the demodulated datastream di is then investigated for bit errors, using the error handling routine. Only if bit errors that are identified can no longer be corrected is the method according to the invention carried out, with bit errors that have been identified, that is to say incorrect received symbols $es1...n$, not being included in the formation of the disturbance-information-specific sum error information items $s\epsilon1...4 = \sum|\Delta\epsilon1...n|$. This may be done, for example, by masking out the incorrect subcarriers $st1...n$ or received symbols $es1...n$ or by appropriate correction of the faulty received symbols $es1...n$. This advantageous development can be repeated iteratively until all the ICI interference has been corrected by equalization.

[0042] According to one alternative refinement variant of the method according to the invention, based on the error curve illustrated in [Figure] Fig. 4, the minimum sum ϵ_{min} of the subcarrier-specific error information items $\sum|\Delta\epsilon1...n|$ is determined by [means of] an iterative search - with a defined step width - using two small reference disturbance information items $ici1, 3$ or test disturbances.

GR 99 P 1571

4/pms

Description

Method, use of the method and a receiving arrangement
for receiving multicarrier signals having a number of
5 frequency-discrete subcarriers

In wireless communications networks, based on radio
channels, in particular in point-to-multipoint radio
feeder networks - also referred to as "Radio In The
10 Local Loop" or "RLL" - a number of network termination
units are each connected via one or more radio channels
to a base station - also referred to as "Radio Base
Station" or "RBS". For example, a wireless feeder
network for wireless voice and data communication is
15 described on pages 36, 37 of telecom report No. 18
(1995), Issue 1 "Drahtlos zum Freizeichen" [Wireless
for calling]. The described communications system is an
RLL subscriber access in conjunction with a modern
broadband infrastructure - for example "Fiber to the
20 curb" - which can be implemented in a short time and
without major effort, instead of laying wire-based
connecting cables. The network termination units RNT
associated with the individual subscribers are
connected via the "radio channel" transmission medium
25 and the base station RBS to a higher-level
communications network, for example to the ISDN-
oriented landline network.

The increasingly widespread use of multimedia
30 applications means that high-bit-rate data streams have
to be transmitted quickly and reliably via
communications networks, in particular via wireless
communications networks and via mobile radio systems,
with the radio transmission systems, which are based on
35 a "radio channel" transmission medium which is
susceptible to disturbances and whose transmission

quality is difficult to assess, being subject to stringent requirements. One transmission method for transmitting broadband data streams - for example video data streams - is, for example, the OFDM transmission method which is based on a multicarrier method - also referred to as Orthogonal Frequency Division Multiplexing

OFDM. In the OFDM transmission technique, the information to be transmitted or the data stream to be transmitted is subdivided or parallelized between a number of subchannels or subcarriers within the radio channel, with the information to be transmitted in each case being transmitted at a relatively low data rate, but in parallel in an additively superimposed form. The OFDM transmission technique is used, for example, for digital terrestrial broadcast radio - also referred to as Digital Audio Broadcasting DAB - and for digital terrestrial television - also referred to as Digital Terrestrial Video Broadcasting DTVB. In particular, the OFDM transmission technique is intended to be used in future wireless local communications networks - also referred to as Wireless LAN or WLAN - and in future mobile radio communications networks - for example UMTS. The OFDM transmission technique will also be used in future access methods such as MC-SSMA - Multi-Carrier Spread Spectrum Multiple Access - or MC-CDMA - Multi-Carrier CDMA.

The OFDM transmission method is described in more detail in Figure 6 on page 46 of the document "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen" [Reports from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications], Professor H. Rohling, Year XXXI, Issue 1-1996. In this case, based on a serial datastream in the transmitter, serial/parallel conversion is carried out for modulation of the, for example, n subcarriers, with a binary code word with a word length k - the word length k is dependent on the modulation method used - being formed in each case for the i -th OFDM block in time with the block length T' and the j -th subcarrier. A transmitter-specific modulation method is used to form the corresponding complex modulation symbols - also referred to as transmission symbols in the following text - from the

code words that have been formed, with a transmission
symbol being allocated to each of the k subcarriers at
each time i . The separation between the individual
subcarriers is defined by $\Delta f = 1/T'$, thus guaranteeing
5 the orthogonality of the individual subcarrier

signals in the interval $[0, T']$ that is used. The oscillations of the individual subcarriers are multiplied by the corresponding modulation symbols or transmission symbols, and the modulation products that are formed are then added, to produce the corresponding time-discrete transmission signal for the i -th OFDM block in time. This transmission signal is calculated directly from the modulation symbols or transmission symbols of the individual subcarriers under consideration by Inverse, Discrete Fourier Transformation - IDFT. In order to minimize intersymbol interference, each OFDM block is preceded by a guard interval T_g in the time domain which results in the time-discrete OFDM signal being lengthened in the interval $[-T_g, 0]$ - see "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen" [Report from Brunswick Technical University, Mobile Radio Technology for Multimedia Applications], Figure 7. The guard interval T_g that is inserted advantageously corresponds to the maximum delay time difference that occurs between the individual propagation paths which occur during radio transmission. The removal of the added guard interval T_g at the receiver end avoids, for example, any interference between the i -th OFDM block and the OFDM signal which is adjacent in time at the time $i-1$, so that the transmission signal is received over all the circuitous paths in the interval $[0, T']$, and the orthogonality is fully maintained between the subcarriers in the receiver. If there are a large number of subcarriers - for example $n = 256$ subcarriers - and the symbol durations $T = T' + T_g$ are correspondingly long, then the duration T_g is short in comparison to T , so that the insertion of the guard interval efficiently has no significant adverse effect on the bandwidth, and the resultant overhead is only small. After sampling of the transmission signal, received at the input of the receiver in baseband - by

an A/D converter - and after extraction of the interval
being used - that is to say after removal of the guard
interval T_g - a Discrete Fourier Transformation - DFT -
is used to transform the received transmission signal
5 to the frequency domain, that is to say the received
modulation symbols and the received symbols are
established. A suitable demodulation method

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000
1001
1002
1003
1004
1005
1006
1007
1008
1009
1010
1011
1012
1013
1014
1015
1016
1017
1018
1019
1020
1021
1022
1023
1024
1025
1026
1027
1028
1029
1030
1031
1032
1033
1034
1035
1036
1037
1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061
1062
1063
1064
1065
1066
1067
1068
1069
1070
1071
1072
1073
1074
1075
1076
1077
1078
1079
1080
1081
1082
1083
1084
1085
1086
1087
1088
1089
1090
1091
1092
1093
1094
1095
1096
1097
1098
1099
1100
1101
1102
1103
1104
1105
1106
1107
1108
1109
1110
1111
1112
1113
1114
1115
1116
1117
1118
1119
1120
1121
1122
1123
1124
1125
1126
1127
1128
1129
1130
1131
1132
1133
1134
1135
1136
1137
1138
1139
1140
1141
1142
1143
1144
1145
1146
1147
1148
1149
1150
1151
1152
1153
1154
1155
1156
1157
1158
1159
1160
1161
1162
1163
1164
1165
1166
1167
1168
1169
1170
1171
1172
1173
1174
1175
1176
1177
1178
1179
1180
1181
1182
1183
1184
1185
1186
1187
1188
1189
1190
1191
1192
1193
1194
1195
1196
1197
1198
1199
1200
1201
1202
1203
1204
1205
1206
1207
1208
1209
1210
1211
1212
1213
1214
1215
1216
1217
1218
1219
1220
1221
1222
1223
1224
1225
1226
1227
1228
1229
1230
1231
1232
1233
1234
1235
1236
1237
1238
1239
1240
1241
1242
1243
1244
1245
1246
1247
1248
1249
1250
1251
1252
1253
1254
1255
1256
1257
1258
1259
1260
1261
1262
1263
1264
1265
1266
1267
1268
1269
1270
1271
1272
1273
1274
1275
1276
1277
1278
1279
1280
1281
1282
1283
1284
1285
1286
1287
1288
1289
1290
1291
1292
1293
1294
1295
1296
1297
1298
1299
1300
1301
1302
1303
1304
1305
1306
1307
1308
1309
1310
1311
1312
1313
1314
1315
1316
1317
1318
1319
1320
1321
1322
1323
1324
1325
1326
1327
1328
1329
1330
1331
1332
1333
1334
1335
1336
1337
1338
1339
1340
1341
1342
1343
1344
1345
1346
1347
1348
1349
1350
1351
1352
1353
1354
1355
1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369
1370
1371
1372
1373
1374
1375
1376
1377
1378
1379
1380
1381
1382
1383
1384
1385
1386
1387
1388
1389
1390
1391
1392
1393
1394
1395
1396
1397
1398
1399
1400
1401
1402
1403
1404
1405
1406
1407
1408
1409
1410
1411
1412
1413
1414
1415
1416
1417
1418
1419
1420
1421
1422
1423
1424
1425
1426
1427
1428
1429
1430
1431
1432
1433
1434
1435
1436
1437
1438
1439
1440
1441
1442
1443
1444
1445
1446
1447
1448
1449
1450
1451
1452
1453
1454
1455
1456
1457
1458
1459
1460
1461
1462
1463
1464
1465
1466
1467
1468
1469
1470
1471
1472
1473
1474
1475
1476
1477
1478
1479
1480
1481
1482
1483
1484
1485
1486
1487
1488
1489
1490
1491
1492
1493
1494
1495
1496
1497
1498
1499
1500
1501
1502
1503
1504
1505
1506
1507
1508
1509
1510
1511
1512
1513
1514
1515
1516
1517
1518
1519
1520
1521
1522
1523
1524
1525
1526
1527
1528
1529
1530
1531
1532
1533
1534
1535
1536
1537
1538
1539
1540
1541
1542
1543
1544
1545
1546
1547
1548
1549
1550
1551
1552
1553
1554
1555
1556
1557
1558
1559
1560
1561
1562
1563
1564
1565
1566
1567
1568
1569
1570
1571
1572
1573
1574
1575
1576
1577
1578
1579
1580
1581
1582
1583
1584
1585
1586
1587
1588
1589
1590
1591
1592
1593
1594
1595
1596
1597
1598
1599
1600
1601
1602
1603
1604
1605
1606
1607
1608
1609
1610
1611
1612
1613
1614
1615
1616
1617
1618
1619
1620
1621
1622
1623
1624
1625
1626
1627
1628
1629
1630
1631
1632
1633
1634
1635
1636
1637
1638
1639
1640
1641
1642
1643
1644
1645
1646
1647
1648
1649
1650
1651
1652
1653
1654
1655
1656
1657
1658
1659
1660
1661
1662
1663
1664
1665
1666
1667
1668
1669
1670
1671
1672
1673
1674
1675
1676
1677
1678
1679
1680
1681
1682
1683
1684
1685
1686
1687
1688
1689
1690
1691
1692
1693
1694
1695
1696
1697
1698
1699
1700
1701
1702
1703
1704
1705
1706
1707
1708
1709
1710
1711
1712
1713
1714
1715
1716
1717
1718
1719
1720
1721
1722
1723
1724
1725
1726
1727
1728
1729
1730
1731
1732
1733
1734
1735
1736
1737
1738
1739
1740
1741
1742
1743
1744
1745
1746
1747
1748
1749
1750
1751
1752
1753
1754
1755
1756
1757
1758
1759
1760
1761
1762
1763
1764
1765
1766
1767
1768
1769
1770
1771
1772
1773
1774
1775
1776
1777
1778
1779
1780
1781
1782
1783
1784
1785
1786
1787
1788
1789
1790
1791
1792
1793
1794
1795
1796
1797
1798
1799
1800
1801
1802
1803
1804
1805
1806
1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846
1847
1848
1849
1850
1851
1852
1853
1854
1855
1856
1857
1858
1859
1860
1861
1862
1863
1864
1865
1866
1867
1868
1869
1870
1871
1872
1873
1874
1875
1876
1877
1878
1879
1880
1881
1882
1883
1884
1885
1886
1887
1888
1889
1890
1891
1892
1893
1894
1895
1896
1897
1898
1899
1900
1901
1902
1903
1904
1905
1906
1907
1908
1909
1910
1911
1912
1913
1914
1915
1916
1917
1918
1919
1920
1921
1922
1923
1924
1925
1926
1927
1928
1929
1930
1931
1932
1933
1934
1935
1936
1937
1938
1939
1940
1941
1942
1943
1944
1945
1946
1947
1948
1949
1950
1951
1952
1953
1954
1955
1956
1957
1958
1959
1960
1961
1962
1963
1964
1965
1966
1967
1968
1969
1970
1971
1972
1973
1974
1975
1976
1977
1978
1979
1980
1981
1982
1983
1984
1985
1986
1987
1988
1989
1990
1991
1992
1993
1994
1995
1996
1997
1998
1999
2000
2001
2002
2003
2004
2005
2006
2007
2008
2009
2010
2011
2012
2013
2014
2015
2016
2017
2018
2019
2020
2021
2022
2023
2024
2025
2026
2027
2028
2029
2030
2031
2032
2033
2034
2035
2036
2037
2038
2039
2040
2041
2042
2043
2044
2045
2046
2047
2048
2049
2050
2051
2052
2053
2054
2055
2056
2057
2058
2059
2060
2061
2062
2063
2064
2065
2066
2067
2068
2069
2070
2071
2072
2073
2074
2075
2076
2077
2078
2079
2080
2081
2082
2083
2084
2085
2086
2087
2088
2089
2090
2091
2092
2093
2094
2095
2096
2097
2098
2099
2100
2101
2102
2103
2104
2105
2106
2107
2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
2125
2126
2127
2128
2129
2130
2131
2132
2133
2134
2135
2136
2137
2138
2139
2140
2141
2142
2143
2144
2145
2146
2147
2148
2149
2150
2151
2152
2153
2154
2155
2156
2157
2158
2159
2160
2161
2162
2163
2164
2165
2166
2167
2168
2169
2170
2171
2172
2173
2174
2175
2176
2177
2178
2179
2180
2181
2182
2183
2184
2185
2186
2187
2188
2189
2190
2191
2192
2193
2194
2195
2196
2197
2198
2199
2200
2201
2202
2203
2204
2205
2206
2207
2208
2209
2210
2211
22

is used to produce the corresponding received code words from the established received symbols and the received serial datastream is formed by parallel/serial conversion from these code words. The avoidance of intersymbol interference when using OFDM transmission methods considerably reduces the computation effort in the respective receiver, as a result of which the OFDM transmission technique is used, for example, for terrestrial transmission of digital television signals - for example for transmission of broadband datastreams at a transmission rate of 34 Mbps per radio channel.

Absolute or differential modulation methods and corresponding coherent or incoherent demodulation methods are used for transmission of the serial datastream to be transmitted using the OFDM transmission method. Examples of an absolute modulation method include 4-QAM or 16-QAM - Quadrature Amplitude Modulation. Although the orthogonality of the subcarriers is fully maintained when the OFDM transmission method is used to transmit the transmission signal that has been formed via the only "radio channel" transmission medium, the transmission characteristics of the radio channel result in the transmitted, frequency-discrete or frequency-selective transmission symbols being varied both in phase and amplitude. The amplitude and phase influence of the radio channel affects the individual subcarriers, which each have a very narrow bandwidth, on a subcarrier-specific basis; furthermore, noise signals are additively superimposed on the transmitted user signal. When using coherent demodulation methods, channel estimation is required which, depending on the quality requirements, involves a considerable technical and financial implementation penalty and, furthermore, reduces the performance of the transmission system. It is advantageous to use differential modulation methods and corresponding incoherent demodulation methods in

which there is no need for complex radio channel estimation. In differential modulation methods

CONFIDENTIAL

the information to be transmitted is not transmitted directly by selection of the modulation symbols or of the frequency-discrete transmission symbols, but by changing the frequency-discrete transmission symbols, which are adjacent in time, on the same subcarrier. Examples of differential modulation methods include 64-step 64-DPSK - Differential Phase Shift Keying - and 64-DAPSK - Differential Amplitude and Phase Shift Keying. In 64-DAPSK, both the amplitude and the phase are differentially modulated at the same time.

If there are major delay time differences between the individual signal paths, that is to say when severe multi-path propagation occurs, different attenuation levels, dependent on the transmission channel, with attenuation differences of up to 20 dB or more, may occur between the individual received subcarriers. The received subcarriers having high attenuation levels, or subcarriers with low S/N ratios - also referred to as the signal power-to-noise power ratio - have a very high symbol error rate, as a result of which the overall bit error rate over all the subcarriers rises considerably. In the case of subcarriers modulated using coherent modulation methods, it is already known for the attenuation losses caused by the frequency-selective transmission characteristics of the transmission medium - also referred to as the transfer function $H(f)$ - to be corrected at the receiving end by using the inverse transfer function - also referred to as $1/H(f)$, with the frequency-selective attenuation losses being determined, for example, by evaluating transmitted reference pilot tones, which are each associated with specific subcarriers.

Normally, OFDM signals arriving at a receiver are mixed down to the intermediate frequency band or baseband by means of a local oscillator arranged in a radio-frequency unit - also referred to as an RF frontend.

The respective local oscillators arranged at the transmitting end and at the receiving end, have different frequency fluctuations and different phase noise depending

on the quality and the Q factor. OFDM signals in particular are highly susceptible to frequency fluctuations and phase noise, which are produced in particular in low-cost LO oscillators, so they result
5 in loss of the orthogonality between the subcarriers arranged adjacent in the frequency domain. The phase noise from a local oscillator causes disturbances in the demodulated baseband signal resulting, in particular, in the production of a Common Phase Error -
10 also referred to as CPE interference or disturbances - and "Inter Carrier Interference" - also referred to as ICI disturbances in the baseband signal.

CPE disturbances rotate all the subcarriers in an OFDM received signal through a constant phase difference, in
15 which case the phase difference can be estimated, and the OFDM signal can be corrected accordingly, with minimal effort. On the other hand, ICI disturbances cause mutual interference between the subcarriers arranged adjacent in the frequency domain, with the
20 respective extent of such disturbances being dependent on the nature of the information being transmitted. ICI disturbances are produced in the convolution of the individual subcarriers with the local oscillator carrier signal, which is subject to phase noise. If the
25 same information is transmitted on each subcarrier, the same ICI interference is additively superimposed on each subcarrier. During normal operation, each subcarrier is subject to different amplitude fluctuations, which result in different ICI
30 disturbances being produced in the individual subcarriers, depending on the modulation method being used and on the data being transmitted. The received OFDM signal is a complicated additive superimposition of a very large number of signal elements, so that the
35 ICI interference can be established directly only by increased effort.

Oscillators with low phase noise - also referred to as

pure phase oscillators - are available, but these are either very expensive or have a minimal trimming range, and which thus require complex additional baseband circuits.

CONFIDENTIAL

The invention is based on the object of designing a low-cost means of transmitting information using a multicarrier method and, in particular, of achieving effective utilization of the transmission resources available in the transmission medium. Based on a method and a receiving arrangement according to the features of the precharacterizing clauses of patent claims 1 and 15, the object is achieved by the characterizing features of these claims.

10

In the method according to the invention for receiving a multicarrier signal having a number of frequency-discrete subcarriers, the information to be transmitted is converted by means of a multicarrier method to frequency-discrete modulation symbols, and is inserted into the multicarrier signal. The individual frequency-discrete subcarriers of the multicarrier signal transmitted via a transmitter medium are each subject to subcarrier-specific disturbances caused by subcarriers arranged adjacent in the frequency domain. The major aspect of the method according to the invention is that the subcarriers in the received multicarrier signal are additionally deliberately subjected to disturbances, and that correction information which represents subcarrier-specific disturbances is derived from the subcarriers which have been additionally deliberately subjected to disturbances. The received, frequency-discrete subcarriers are then corrected in accordance with the determined correction information.

30

The major advantage of the method according to the invention is that the compensation according to the invention for the subcarrier-specific disturbances or ICI disturbances contained in the received multicarrier signal means that particularly low-cost local oscillators can be used in the respective transmitting and receiving devices. Such oscillators may, for

35

example, be based on gallium arsenide and can be produced with the minimum financial cost and technical complexity using MMIC. Furthermore, no additional insertion of redundant information is required at the transmission end for estimation

of the ICI disturbances or to establish correction information in order to implement the method according to the invention, thus allowing effective utilization of the transmission resources available in the transmission medium to be achieved.

The received symbols which represent frequency-discrete subcarriers are advantageously derived from the received multicarrier signal. In this advantageous refinement, k differently defined reference disturbance information items are provided, in which case, for each reference disturbance information item, the received symbols in the subcarriers which are in each case arranged adjacent around at least some of the subcarriers in the frequency domain are each subjected to disturbances from the reference disturbance information, and the disturbed received symbols in the adjacent subcarriers are then additively superimposed (a) as deliberate test disturbances on the received symbol in the additionally disturbed subcarrier. The additionally deliberately disturbed received symbols are each compared with the closest modulation-specific modulation symbol, and subcarrier-specific error information is formed (b) as a function of the comparison results, and disturbance-information-specific sum error information is formed (c) from the subcarrier-specific error information. The k reference disturbance information items and the k sum error information items are then used to derive (d) the correction information - claim 3. This advantageous refinement allows the correction information for estimating the ICI disturbances to be established very accurately, since the correction information has been derived by averaging over all the subcarriers in the received multicarrier signal.

According to one advantageous refinement of the method

according to the invention, the correction information
(ici_{opt}) is determined in the course of an iterative
search, with the k reference disturbance information
items ($ici1...4$) being established in the course of the
5 iterative search, and steps (a) to (c) being repeated
until a minimum value of the disturbance-information-
specific

sum error information (ϵ_{\min}) is determined, and the correction information (ici_{opt}) has been derived from this - claim 7. Determination of correction information (ici_{opt}) using the iterative search represents a highly
5 stable method.

According to a further advantageous refinement of the method according to the invention, the additionally deliberately disturbed received symbols are in each
10 case corrected by equalization as a function of frequency-selective transmission characteristics of the transmission medium before the comparison with the respective closest modulation-specific modulation symbol - claim 8. Equalizing the received multicarrier
15 signal to correct for the frequency-selective transmission characteristics of the transmission medium means that any errors which may have occurred in the comparison of the deliberately disturbed received symbols with the respective closest modulation-specific
20 modulation symbols are minimized, and the quality of the determined correction information is thus improved.

Advantageously, once steps (a) to (d) have each been carried out for each reference disturbance information
25 item the received symbols of the subcarriers which are each arranged further away from at least some of the subcarriers in the frequency domain are each subjected to disturbances from the reference disturbance information, and the disturbed received symbols are
30 then additively superimposed as deliberate test disturbances on the received symbol of the additionally disturbed subcarrier (a'). Steps (b) to (d) are then carried out - claim 9. Giving additional consideration to those subcarrier-specific disturbances which are in
35 each case caused by adjacent carriers that are further away in the frequency domain further improves the quality of the determined correction information.

In order to achieve a further improvement in the process of establishing the correction information, according to a further advantageous refinement of the method according to the invention, the received symbols
5 which have been corrected using the correction information are

demodulated. Errors are identified in the demodulated received symbols using error identification information inserted into the transmitted information, and identified, erroneous received symbols are corrected.

5 When errors are identified, steps (b) to (d) are carried out once again, with the corrected received symbols being used for determining the correction information - claim 10.

10 Further advantageous refinements of the method according to the invention, as well as the use of the method according to the invention and a receiving arrangement for receiving a multicarrier signal having a number of frequency-discrete subcarriers can be found
15 in the further claims.

The method according to the invention will be explained in more detail in the following text with reference to four drawings, in which:

20

Figure 1 shows a disturbance model on which the method according to the invention is based, which is used to illustrate the mutual subcarrier-specific interference between subcarriers in a multicarrier signal which are arranged adjacent in the frequency domain,

25

Figure 2 shows a circuit arrangement using the method according to the invention,

30

Figure 3 shows an advantageous refinement of a circuit arrangement for additive superimposition of reference disturbance information, and test disturbances derived from it, on the respective subcarriers in a received multicarrier signal, and

35

Figure 4 shows an illustration, in the form of a graph, of an error curve or correction function from which the correction information to minimize the subcarrier-

specific disturbances in a received multicarrier signal is derived.

Figure 1 shows a disturbance model, arranged in the
5 frequency domain, to illustrate the problem on which
the method according to the invention

is based. The disturbance model shows, in detail form, a number of subcarriers st_{i-1} , st_i , st_{i+1} in a multicarrier signal ms which has, in particular, n subcarriers $st_1...n$ and is formed using a multicarrier method. It is assumed in the following text that the multicarrier signal is produced using an OFDM transmission method. Originating from each subcarrier st_i , subcarrier-specific disturbances $icix$ are produced in those subcarriers st_{i-1} and st_{i+1} which are arranged adjacent in the frequency domain, and these disturbances are indicated by small arrows in the disturbance model. Conversely, the centrally arranged i -th subcarrier st_i is influenced by the subcarrier-specific disturbances - indicated by $icix_{-1}$ and $icix_{+1}$ in figure 1 - caused by the two adjacent subcarriers st_{i-1} and st_{i+1} , with the respective i -th subcarrier st_i in each case having the subcarrier-specific disturbances $icix_{-1}$, $icix_{+1}$ which are produced actively superimposed on it. As shown in Figure 1, the received multicarrier signal ms is a complicated superimposition of a very large number of signal elements, so that it is no longer possible to establish directly the subcarrier-specific disturbances $icix$ originating from the individual subcarriers $st_1...n$.

Figure 2 shows, in the form of a block diagram, a circuit arrangement which is arranged in a receiving unit E and by means of which the subcarrier-specific disturbances $icix$ - also referred to as ICI disturbances in the following text - contained in the received OFDM signal ms are estimated, with the received OFDM signal ms then being corrected by equalization as a function of the estimation result. The block diagram shows a receiving unit E which has a receiving antenna A and may, for example, be a modular component in base stations or network termination units, which act as receiving systems in wireless communications networks. A radio-frequency converter

to an input EW of a converter unit WAS. The converter unit WAS has means for analog/digital conversion and for subsequent serial/parallel conversion (A/D, S/P) of an incoming received signal ms'. The converter unit WAS
5 has n outputs AW1...n, which are connected to corresponding inputs EF1...n of a transformation unit FFT for carrying out a discrete "Fast Fourier Transformation". The transformation unit FFT is connected via n outputs AF1...n to corresponding inputs
10 EP1...n of a parallel/serial converter PSW.

The parallel serial converter PSW is connected via an output AP to one input ER of each of four parallel-arranged reference modules RM1...4, by means of which
15 four defined disturbance signals, or reference disturbance information items icil...4 representing them, are added to the received OFDM signal ms. To do this, each of the four reference modules RM1...4 has a disturbance unit STE, each of which is associated with
20 one of the reference disturbance information items icil...4, and by means of which the individual subcarriers st1...n in the received OFDM signal ms have the respectively associated reference disturbance information icil...4 additively superimposed on them.
25 Each reference module RM1...4 also has an equalizer unit EZ for linear equalization of the received OFDM signal for the radio channel characteristics H(f), and an error detector unit FE in order to establish disturbance-information-specific sum error information
30 ss1...4. Each error detector unit FE is connected via an output AF to an output AR of the respective reference module RM1...4. Each of the four reference modules RM1...4 is connected via the output AR to an input EA1...4 of an evaluation unit ASW.

35

The output AP of the parallel/serial converter PSW is also connected to an input EV of a delay unit VE, which

is connected via an output AV to the input EK of correction unit KE. The correction unit KE has a control input SE, which is connected to a control output SA of the evaluation unit ASW. The correction unit AE is connected via an output AK to an input EE of a further equalizer unit EZ, which is connected via an output AE to an input AD of a demodulator DMOD. The demodulator DMOD has an output AD, to which the demodulated received signal is passed on as a digital data signal di.

The method according to the invention will be explained in the following text with reference to the circuit arrangement illustrated in Figure 2.

In a transmitter which is not illustrated, a multicarrier method, for example, an OFDM transmission method, is used to convert the information to be transmitted, by means of a phase-modulating modulation method - for example 4QAM or 16QAM - to corresponding modulation symbols, which are then converted to an OFDM signal ms , which has a number of frequency-discrete subcarriers $st1...n$, and this is transmitted via the "radio channel" transmission medium FK to the receiving unit E. The radio channel FK has frequency-selective transmission characteristics $H(f)$, which distort the amplitude and phase of the OFDM signal ms . The transmitted OFDM signal ms is received via the receiving antenna A, which is arranged externally on the receiving unit E, and is supplied to the radio-frequency converter unit HFU. The received OFDM signal ms is down-mixed to the intermediate-frequency band by the local oscillator LO, arranged in the radio-frequency converter unit HFU, with the phase noise ϕ_{Lo} in the local oscillator LO producing the subcarrier-specific disturbances $icix$ in the individual subcarriers $st1...n$ in the received OFDM signal ms . The

OFDM signal ms' which has been down-mixed to the intermediate-frequency band, is analog/digital converted by the converter unit WAS, and is then parallelized by serial/parallel conversion to
5 corresponding n-time-discrete samples $zs1...n$

which represent the digital OFDM signal. The discrete "Fast Fourier Transformation" that is carried out in the transformation unit FFT is used to calculate the corresponding n received symbols $es1...n$ from the n time-discrete samples $zs1...n$, and these are then converted by the parallel/serial converter PSW to a serial datastream $es1...n$. It should be noted that the serial/parallel and parallel/serial converter illustrated in Figure 2 is not absolutely essential, since many modern microprocessors already process the incoming and outgoing information in serial form in order to carry out the "Fast Fourier Transformation". The received symbols $es1...n$ which are each passed to the output AW of the parallel/serial converter PSW and represent the currently received subcarriers $st1...n$ in the received OFDM signal ms , are each supplied to the four reference modules $RM1...4$.

The operation of the reference modules $RM1...4$ will be explained in more detail in the following text.

The disturbance units STE which are arranged in the reference modules $RM1...4$ are used in each case to superimpose reference disturbance information $icil...4$, which represents subcarrier-specific disturbances $icix$, on the transmitted received symbols $es1...n$. To this end, using the reference disturbance information $icil...4$, subcarrier-specific disturbances $icix_{-1}$, $icix_{+1}$ - also referred to as defined test disturbances - are derived from the respective subcarriers st_{i-1} , st_{i+1} arranged adjacent around an i -th subcarrier st_i , for example by multiplication by the reference disturbance information $icil...4$ - and the two derived test disturbances $icix_{-1}$, $icix_{+1}$, are then additively superimposed on the centrally arranged i -th subcarrier st_i .

By way of example, Figure 3 shows a circuitry

embodiment of the disturbance unit STE for forming the test disturbances icix and for additively superimposing the test disturbances icix that are formed on the subcarriers st1...n. The disturbance

unit STE has three timers T1...3, which are used to delay the received symbols $es1...n$, which arrive in serial form and represent the individual subcarriers $st1...n$. Arranging the three timers T1...3 in series

5 means that three subcarriers st_{i-1} , st_i and st_{i+1} , which are arranged adjacent in the frequency domain and are represented by the received symbols $es1...n$, are in each case available at the same time. The first and the third timer T1, T3 are each connected via an output AT

10 to an input EM of a multiplier M, which is used to multiply the respective received symbol $es1...n$ currently stored in the corresponding timer T1, T3 by the reference disturbance information $icil...4$ associated with the respective reference module

15 RM1...4. The two multipliers M are connected via in each case one output AM to inputs EA of an adder ADD, to which an output AT of the second timer T2 is also connected. The circuit arrangement illustrated in Figure 3 is used to multiply the respective subcarriers

20 st_{i-1} , st_{i+1} , which are arranged adjacent about an i -th subcarrier, or the received symbols $es1...n$ representing them by the respectively associated reference disturbance information $icil...4$, and the two multiplication products which each represent test

25 disturbances $icix_{-1}$, $icix_{+1}$ added to the i -th subcarrier st_i , or to the received symbol $es1...n$ representing it. Depending on the respective mathematical sign of the individual reference disturbance information items $icil...4$, the test disturbances $icix_{-1}$, $icix_{+1}$ which are

30 formed are added to or subtracted from the respective i -th subcarrier st_i , which the disturbance process which is illustrated in Figure 1, based on the phase noise ϕ_{LO} of the local oscillator LO, arranged in the radio-frequency converter unit HFU, being reversed by the

35 subtraction of a test disturbance $icix$.

In order to allow the ICI disturbances $ici0$ caused by

the phase noise in the oscillator LO to be established
or estimated accurately, the received symbols $es'1...n$
to which the various reference disturbance information
items $icil...4$ have been applied are also linearly
5 equalized by the equalizer

unit EZ. In order to allow linear equalization to correct for the transmission characteristics of the transmission medium, the transfer function $H(f)$ of the radio channel FK is established, for example using
5 pilot symbols. The received symbols $es'1...n$ are then multiplied by the inverse transfer function $1/H(f)$. The equalized received symbols $es''1...n$ are then supplied to the error detector unit FE.

10 In the error detection unit FE, the received symbols $es''1...n$ supplied to it are each compared with the next-best or most probable modulation symbol - the set of modulation symbols is in each case dependent on the modulation method used - and the subcarrier-specific
15 error information item $\Delta\epsilon1...n$ is formed for each received symbol $es''1...n$ representing the difference or the interval between the received symbol $es''1...n$ and the next-best modulation symbol. The subcarrier-specific error information items $\Delta\epsilon1...n$ determined for
20 each reference disturbance information item $icil...4$ over all the subcarriers $st1...n$ are then added to form a disturbance-information-specific sum error information item $s\epsilon1...4$, where $s\epsilon1...4 = \sum |\Delta\epsilon1...n|$. The four disturbance-information-specific sum error
25 information items $s\epsilon1...4$ defined in the four reference modules RM1...4 are each passed on to the evaluation unit ASW.

In the evaluation unit ASW, correction information
30 ici_{opt} is derived, in accordance with the error curve illustrated in Figure 4, from the four predetermined reference disturbance information items $icil...4$ and from the four disturbance-information-specific sum error information items $s\epsilon1...4$ defined in the four
35 reference modules RM1...4. The error curve at the same time represents a correction function and is illustrated in a two-dimensional coordinate system, with the reference disturbances $icil...4$, or the test

disturbances $icix$ derived from them, being plotted on the abscissa, and the respectively defined, disturbance-information-specific sum error information items $s\epsilon 1...4$ being shown on the ordinate - where

5 $s\epsilon 1...4 = \sum |\Delta \epsilon 1...n(ici 1...4)|$. For the exemplary

embodiment it is assumed that the sums of the respective subcarrier-specific error information items $\Delta\epsilon_{1...n}$, that is to say the disturbance-information-specific sum error information items

5 $s\epsilon_{1...4} = \sum |\Delta\epsilon_{1...n}|$, rise linearly as the ICI interference increases, that is to say as the magnitudes of the reference disturbance information items $ici_{1...4}$ rise, since the disturbance model illustrated in Figure 1 is based on additive

10 disturbance terms. Ideally, when a multicarrier signal ms is received without any ICI interference, the sum of the subcarrier-specific error information items $\Delta\epsilon_{1...n}$ has a minimum value $s\epsilon_{\min}$ with the minimum value $s\epsilon_{\min}$ turning to zero in an ideal communications system,

15 without any additively superimposed Gaussian noise - AWGN - and without any estimation error $\Delta H(f)$ for the radio channel FK . In real systems, the minimum value $s\epsilon_{\min}$ has a value that is not equal to zero. Due to the phase noise from the local oscillator LO arranged on

20 the radio-frequency-converter unit HFU , the received symbols $es_{1...n}$ which are produced at the output of the parallel/serial converter PSW have ICI interference which cannot be recorded precisely, and which is represented by the value ici_0 in Figure 4. Based on

25 this ICI interference ici_0 , which cannot be measured, this results in subcarrier-specific error information $\Delta\epsilon_{1...n}$, whose sum $\sum |\Delta\epsilon_{1...n}|$ gives the value $s\epsilon_0$, which is likewise shown in Figure 4, where $s\epsilon_0 \geq s\epsilon_{\min}$.

30 Figure 4 shows the intersection of the ICI interference ici_0 which is contained in the received symbols $es_{1...n}$ but cannot be established with any great accuracy, and the sum, resulting from this, of the subcarrier-specific error information $s\epsilon_0 = \sum |\Delta\epsilon_{1...n}(ici_0)|$ by a

35 point AP . Starting from this point, or this point of origin AP , the received symbols $es_{1...n}$ each have the four different reference disturbance information items $ici_{1...4}$ or test disturbances $icix$ applied to them in

the described manner according to the invention - in the respective reference modules RM1...4- and the disturbance-information-specific sum error information items $ss1...4$ are then determined. As shown in 5 Figure 4, the first and the third reference disturbance information items $ici1,3$ each represent a very low level of ICI interference

in each case with the opposite mathematical sign, while the second and the fourth reference disturbance information items $ici_{2,4}$ each represent a relatively high ICI interference level. A linear relationship is assumed between the reference disturbance information $ici_{1...4}$, or the disturbance signals $icix$ derived from them, and the disturbance-information-specific sum error information items $s\epsilon_{1...4}$ resulting from them. The linear relationship is indicated in the error curve or correction function illustrated in Figure 4 by means of a linear characteristic $\sum|\Delta\epsilon_{1...n}|$ whose gradient is S . By calculating the gradient S of the correction function, it is possible to establish from the known output variables - in this case from the reference disturbance information items $ici_{1...4}$ - and the disturbance-information-specific sum error information items $s\epsilon_{1...4}$ established with the aid of the reference modules $RM_{1...4}$, that correction information item ici_{opt} which gives the sum of the subcarrier-specific error information items $\sum|\Delta\epsilon_{1...n}(ici_{opt})|$ the minimum value $s\epsilon_{min}$; that is to say the specific correction information item ici_{opt} can be used to produce that disturbance $icix$ which minimizes the ICI interference present in the received OFDM signal.

25

The correction information can be derived from the known variables using the following calculation rule:

$$s\epsilon_0 = \frac{(s\epsilon_1 + s\epsilon_3)}{2} \quad (1)$$

$$\Delta s\epsilon = \frac{(s\epsilon_1 - s\epsilon_3)}{2} \quad (2)$$

$$S = \frac{\Delta s\epsilon}{ici_3} = \frac{s\epsilon_1 - s\epsilon_3}{ici_1 - ici_3} \quad (3)$$

$$s\epsilon_{min} = s\epsilon_0 + S \cdot ici_{opt} \quad (4)$$

$$s\epsilon_4 = \epsilon_{min} - S \cdot (ici_4 - ici_{opt}) \quad (5)$$

It follows from equations (1) to (5) that:

$$ici_{opt} = \left(\frac{s\varepsilon 4 - s\varepsilon 0}{2(s\varepsilon 1 - s\varepsilon 3)} \right) \bullet (ici1 - ici3) + \frac{ici4}{2} \quad (6)$$

$$ici_{opt} = \left(\frac{s\epsilon 4 - \frac{(s\epsilon 1 + s\epsilon 3)}{2}}{2(s\epsilon 1 - s\epsilon 3)} \right) \bullet (ici1 - ici3) + \frac{ici4}{2} \quad (7)$$

where $ici1, ici2 \geq 0$
 $ici3, ici4 \leq 0$

5

If the point of origin AP ($ici0, s\epsilon 0$) is in the left-hand section of the error curve or correction function $\sum |\Delta \epsilon 1...n|$, or in the second quadrant of the coordinate system, the calculation rule shown above must be adapted appropriately. The effort for calculating the correction information ici_{opt} is negligible since it is calculated only once after receiving an OFDM signal - after establishing the received symbols $es1...n$.

15 The calculated correction information ici_{opt} is passed on to the correction unit KE. The received OFDM signal ms and the received symbols $es1...n$ produced at the output of the parallel/serial converter PSW are delayed in the delay unit VE by the time constant $\Delta \tau$, with the magnitude of the time constant $\Delta \tau$ being such that the received symbols $es1...n$ are not transmitted to the correction unit KE until the correction information ici_{opt} has been calculated and passed on to the correction unit KE. In the correction unit KE, the delayed received symbols $ves1...n$ have the optimized disturbance $icix$ additively superimposed on them, and are thus corrected, in the manner already described. The corrected received symbols $ves'1...n$ are then multiplied in the equalizer unit EZ by the inverse of the transfer function $1/H(f)$ of the radio channel FK, and are passed on to the demodulator DMOD. In the demodulator DMOD, the equalized received symbols $ves''1...n$ are demodulated, and are converted to a digital datastream di .

35

If the ICI interference level in the received OFDM signal is very high, then, according to one advantageous development of the method according to the invention, the ICI interference caused between
 5 subcarriers that are further away - for example between the subcarriers st_{i-2} , st_i and st_{i+2} is also corrected by equalization. An interactive method could be used for this purpose, in which, in a first step, those subcarriers which are arranged immediately adjacent in
 10 the frequency domain - in this case the subcarriers st_{i-1} , st_i and st_{i+1} - are corrected by equalization in the described manner. In a second step in the same method, the ICI interference caused by those subcarriers which are arranged further away in the
 15 frequency domain - in this case the subcarriers st_{i-2} , st_i and st_{i+2} - is corrected by equalization. If necessary, the iteration method can also be extended to subcarriers st_{i-b} , st_i and st_{i+b} , where $b > 1$, which are arranged further away in the frequency domain.

20 Furthermore, if the ICI interference level is very high, the received symbols $es1...n$ may have very large symbol errors. When these received symbols $es1...n$ which are subject to errors are compared with the
 25 respectively next-best modulation symbol representing the nominal value - also referred to as the estimated value - the received symbols $es1...n$ may be compared with the wrong modulation symbol, which would lead to considerable errors in the calculation of the sum of
 30 the carrier-specific error information items $\sum |\Delta \epsilon 1...n|$. Incorrect correction information ici_{opt} would be derived from the incorrectly determined disturbance-information-specific sum error information items $s\epsilon 1...4 = \sum |\Delta \epsilon 1...n|$. In the worst case, this
 35 would cause an increase in the bit errors in the demodulated datastream di .

According to a further advantageous refinement of the method according to the invention - not illustrated - an error handling routine - also referred to as Forward
5 Error Correction, FEC - is provided, which is used to investigate the demodulated datastream d_i for any bit errors which may

Patent application of the applicant

have occurred. According to this advantageous refinement of the method according to the invention, an additional interaction method is carried out when big errors are identified, in which incorrectly identified
5 received symbols are corrected and the sum of the carrier-specific error information items $\sum |\Delta \epsilon_{1...n}|$ is formed once again using the corrected received symbols. This embodiment variant can be used in particular for modulation methods having a relatively large number of
10 stages.

According to one further refinement variant of the method according to the invention, only some of the received symbols $es_{1...n}$ derived from the received
15 multicarrier signal ms are used for establishing the correction information ici_{opt} , thus minimizing the complexity for calculating the correction information ici_{opt} , and hence minimizing the delay to the received multicarrier signal ms , that is to say the delay
20 constant $\Delta \tau$.

According to one advantageous development, the method according to the invention is used together with an error handling routine. In this case, no equalization
25 of the ICI interference in the received multicarrier signal is carried out initially. In a first step, the received multicarrier signal is first of all demodulated, and the demodulated datastream di is then investigated for bit errors, using the error handling
30 routine. Only if bit errors that are identified can no longer be corrected is the method according to the invention carried out, with bit errors that have been identified, that is to say incorrect received symbols $es_{1...n}$, not being included in the formation of the
35 disturbance-information-specific sum error information items $se_{1...4} = \sum |\Delta \epsilon_{1...n}|$. This may be done, for example, by masking out the incorrect subcarriers $st_{1...n}$ or received symbols $es_{1...n}$ or by appropriate

correction of the faulty received symbols $es1...n$. This advantageous development can be repeated iteratively until all the ICI interference has been corrected by equalization.

According to one alternative refinement variant of the method according to the invention, based on the error curve illustrated in Figure 4, the minimum sum ε_{\min} of the subcarrier-specific error information items

5 $\sum |\Delta \varepsilon_{1...n}|$ is determined by means of an iterative search - with a defined step width - using two small reference disturbance information items $ic_{1, 3}$ or test disturbances.

Patent Claims

1. A method for receiving a multicarrier signal (ms) having a number of frequency-discrete subcarriers (st1...n) and into which information is inserted which is converted by means of a multicarrier method to frequency-discrete modulation-specific modulation symbols with the individual frequency-discrete subcarriers (st1...n) of the multicarrier signal (ms) transmitted via a transmission medium (FK) each being subject to subcarrier-specific disturbances (ici0) caused by subcarriers (st1...n) arranged adjacent in the frequency domain,
- characterized**
- in that the subcarriers (st1...n) of the received multicarrier signal (ms) are additionally deliberately subjected to disturbances,
 - in that correction information (ici_{opt}) which represents the subcarrier-specific disturbances (ici0) is derived from the subcarriers (st1...n) which have been additionally deliberately subjected to disturbances, and
 - in that the subcarriers (st1...n) of the received multicarrier signal (ms) are corrected in accordance with the determined correction information (ici_{opt}).
2. The method as claimed in claim 1,
- characterized**
- in that a number of different test disturbances (icix) are provided, with the subcarriers (st1...n) being deliberately subjected to disturbances, in the event of a test disturbance

(icix), by means of constant or frequency-dependent disturbance information (ici1...4).

3. The method as claimed in claim 2,

5 **characterized**

- in that the received symbols (es1...n) which represent frequency-discrete subcarriers (st1...n) are derived from the received multicarrier signal (ms),
- 10 - in that k differently defined reference disturbance information items (ici1...4) are provided, in which case, for each reference disturbance information item (ici1...4),

- 5 -- (a) the received symbols ($es_{1...n}$) in the
subcarriers (st_{i-1} , st_{i+1}) which are in
each case arranged adjacent around at
least some of the subcarriers (st_i) in
the frequency domain are each subjected
to disturbances from the reference
disturbance information ($ici_{1...4}$), and
the disturbed received symbols in the
adjacent subcarriers (st_{i-1} , st_{i+1}) are
10 then additively superimposed as
deliberate test disturbances ($icix_{-1}$,
 $icix_{+1}$) on the received symbol ($es_{1...n}$)
in the additionally disturbed subcarrier
(st_i),
15 - (b) in that the additionally deliberately
disturbed received symbols ($es'_{1...n}$)
are each compared with the closest
modulation-specific modulation symbol,
and subcarrier-specific error
20 information ($\Delta e_{1...n}$) is formed as a
function of the comparison results, and
-- (c) disturbance-information-specific sum
error information ($se_{1...k}$) is formed
from the subcarrier-specific error
25 information ($\Delta e_{1...n}$), and
- (d) in that the correction information
(ici_{opt}) is derived from the k reference
disturbance information items ($ici_{1...k}$)
and the k sum error information items
30 ($se_{1...k}$).

4. The method as claimed in claim 3,

characterized

- 35 - in that the frequency-discrete received symbols
($es_{1...n}$) derived from the received
multicarrier signal (ms) are delayed or are

temporarily stored until the correction information (ici_{opt}) has been established,

- (e) in that the delayed received symbols ($ves1...n$) in the subcarriers (st_{i-1} , st_{i+1}) which are in each case arranged adjacent around a subcarrier (st_i) in the frequency domain are each corrected by the determined correction information (ici_{opt}), and are then additively superimposed on the delayed received symbol ($ves1...n$) in the subcarrier (st_i).

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000
1001
1002
1003
1004
1005
1006
1007
1008
1009
1010
1011
1012
1013
1014
1015
1016
1017
1018
1019
1020
1021
1022
1023
1024
1025
1026
1027
1028
1029
1030
1031
1032
1033
1034
1035
1036
1037
1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061
1062
1063
1064
1065
1066
1067
1068
1069
1070
1071
1072
1073
1074
1075
1076
1077
1078
1079
1080
1081
1082
1083
1084
1085
1086
1087
1088
1089
1090
1091
1092
1093
1094
1095
1096
1097
1098
1099
1100
1101
1102
1103
1104
1105
1106
1107
1108
1109
1110
1111
1112
1113
1114
1115
1116
1117
1118
1119
1120
1121
1122
1123
1124
1125
1126
1127
1128
1129
1130
1131
1132
1133
1134
1135
1136
1137
1138
1139
1140
1141
1142
1143
1144
1145
1146
1147
1148
1149
1150
1151
1152
1153
1154
1155
1156
1157
1158
1159
1160
1161
1162
1163
1164
1165
1166
1167
1168
1169
1170
1171
1172
1173
1174
1175
1176
1177
1178
1179
1180
1181
1182
1183
1184
1185
1186
1187
1188
1189
1190
1191
1192
1193
1194
1195
1196
1197
1198
1199
1200
1201
1202
1203
1204
1205
1206
1207
1208
1209
1210
1211
1212
1213
1214
1215
1216
1217
1218
1219
1220
1221
1222
1223
1224
1225
1226
1227
1228
1229
1230
1231
1232
1233
1234
1235
1236
1237
1238
1239
1240
1241
1242
1243
1244
1245
1246
1247
1248
1249
1250
1251
1252
1253
1254
1255
1256
1257
1258
1259
1260
1261
1262
1263
1264
1265
1266
1267
1268
1269
1270
1271
1272
1273
1274
1275
1276
1277
1278
1279
1280
1281
1282
1283
1284
1285
1286
1287
1288
1289
1290
1291
1292
1293
1294
1295
1296
1297
1298
1299
1300
1301
1302
1303
1304
1305
1306
1307
1308
1309
1310
1311
1312
1313
1314
1315
1316
1317
1318
1319
1320
1321
1322
1323
1324
1325
1326
1327
1328
1329
1330
1331
1332
1333
1334
1335
1336
1337
1338
1339
1340
1341
1342
1343
1344
1345
1346
1347
1348
1349
1350
1351
1352
1353
1354
1355
1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369
1370
1371
1372
1373
1374
1375
1376
1377
1378
1379
1380
1381
1382
1383
1384
1385
1386
1387
1388
1389
1390
1391
1392
1393
1394
1395
1396
1397
1398
1399
1400
1401
1402
1403
1404
1405
1406
1407
1408
1409
1410
1411
1412
1413
1414
1415
1416
1417
1418
1419
1420
1421
1422
1423
1424
1425
1426
1427
1428
1429
1430
1431
1432
1433
1434
1435
1436
1437
1438
1439
1440
1441
1442
1443
1444
1445
1446
1447
1448
1449
1450
1451
1452
1453
1454
1455
1456
1457
1458
1459
1460
1461
1462
1463
1464
1465
1466
1467
1468
1469
1470
1471
1472
1473
1474
1475
1476
1477
1478
1479
1480
1481
1482
1483
1484
1485
1486
1487
1488
1489
1490
1491
1492
1493
1494
1495
1496
1497
1498
1499
1500
1501
1502
1503
1504
1505
1506
1507
1508
1509
1510
1511
1512
1513
1514
1515
1516
1517
1518
1519
1520
1521
1522
1523
1524
1525
1526
1527
1528
1529
1530
1531
1532
1533
1534
1535
1536
1537
1538
1539
1540
1541
1542
1543
1544
1545
1546
1547
1548
1549
1550
1551
1552
1553
1554
1555
1556
1557
1558
1559
1560
1561
1562
1563
1564
1565
1566
1567
1568
1569
1570
1571
1572
1573
1574
1575
1576
1577
1578
1579
1580
1581
1582
1583
1584
1585
1586
1587
1588
1589
1590
1591
1592
1593
1594
1595
1596
1597
1598
1599
1600
1601
1602
1603
1604
1605
1606
1607
1608
1609
1610
1611
1612
1613
1614
1615
1616
1617
1618
1619
1620
1621
1622
1623
1624
1625
1626
1627
1628
1629
1630
1631
1632
1633
1634
1635
1636
1637
1638
1639
1640
1641
1642
1643
1644
1645
1646
1647
1648
1649
1650
1651
1652
1653
1654
1655
1656
1657
1658
1659
1660
1661
1662
1663
1664
1665
1666
1667
1668
1669
1670
1671
1672
1673
1674
1675
1676
1677
1678
1679
1680
1681
1682
1683
1684
1685
1686
1687
1688
1689
1690
1691
1692
1693
1694
1695
1696
1697
1698
1699
1700
1701
1702
1703
1704
1705
1706
1707
1708
1709
1710
1711
1712
1713
1714
1715
1716
1717
1718
1719
1720
1721
1722
1723
1724
1725
1726
1727
1728
1729
1730
1731
1732
1733
1734
1735
1736
1737
1738
1739
1740
1741
1742
1743
1744
1745
1746
1747
1748
1749
1750
1751
1752
1753
1754
1755
1756
1757
1758
1759
1760
1761
1762
1763
1764
1765
1766
1767
1768
1769
1770
1771
1772
1773
1774
1775
1776
1777
1778
1779
1780
1781
1782
1783
1784
1785
1786
1787
1788
1789
1790
1791
1792
1793
1794
1795
1796
1797
1798
1799
1800
1801
1802
1803
1804
1805
1806
1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846
1847
1848
1849
1850
1851
1852
1853
1854
1855
1856
1857
1858
1859
1860
1861
1862
1863
1864
1865
1866
1867
1868
1869
1870
1871
1872
1873
1874
1875
1876
1877
1878
1879
1880
1881
1882
1883
1884
1885
1886
1887
1888
1889
1890
1891
1892
1893
1894
1895
1896
1897
1898
1899
1900
1901
1902
1903
1904
1905
1906
1907
1908
1909
1910
1911
1912
1913
1914
1915
1916
1917
1918
1919
1920
1921
1922
1923
1924
1925
1926
1927
1928
1929
1930
1931
1932
1933
1934
1935
1936
1937
1938
1939
1940
1941
1942
1943
1944
1945
1946
1947
1948
1949
1950
1951
1952
1953
1954
1955
1956
1957
1958
1959
1960
1961
1962
1963
1964
1965
1966
1967
1968
1969
1970
1971
1972
1973
1974
1975
1976
1977
1978
1979
1980
1981
1982
1983
1984
1985
1986
1987
1988
1989
1990
1991
1992
1993
1994
1995
1996
1997
1998
1999
2000
2001
2002
2003
2004
2005
2006
2007
2008
2009
2010
2011
2012
2013
2014
2015
2016
2017
2018
2019
2020
2021
2022
2023
2024
2025
2026
2027
2028
2029
2030
2031
2032
2033
2034
2035
2036
2037
2038
2039
2040
2041
2042
2043
2044
2045
2046
2047
2048
2049
2050
2051
2052
2053
2054
2055
2056
2057
2058
2059
2060
2061
2062
2063
2064
2065
2066
2067
2068
2069
2070
2071
2072
2073
2074
2075
2076
2077
2078
2079
2080
2081
2082
2083
2084
2085
2086
2087
2088
2089
2090
2091
2092
2093
2094
2095
2096
2097
2098
2099
2100
2101
2102
2103
2104
2105
2106
2107
2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
2125
2126
2127
2128
2129
2130
2131
2132
2133
2134
2135
2136
2137
2138
2139
2140
2141
2142
2143
2144
2145
2146
2147
2148
2149
2150
2151
2152
2153
2154
2155
2156
2157
2158
2159
2160
2161
2162
2163
2164
2165
2166
2167
2168
2169
2170
2171
2172
2173
2174
2175
2176
2177
2178
2179
2180
2181
2182
2183
2184
2185
2186
2187
2188
2189
2190
2191
2192
2193
2194
2195

5. The method as claimed in claim 3 or 4,

characterized

in that the k reference disturbance information items (ici1...k) and the k disturbance-information-specific sum error information items (sɛ1...k) derived from them are used to establish a correction function (KF) which is used to calculate the correction information (ici_{opt}).

10 6. The method as claimed in claim 5,

characterized

- in that four defined reference disturbance information items (ici1...4) are provided, and are used to derive the four disturbance-information-specific sum error information items (sɛ1...4) and
- in that the correction information (ici_{opt}) is calculated by

$$ici_{opt} = \left(\frac{sɛ4 - \frac{(sɛ1 + sɛ3)}{2}}{2(sɛ1 - sɛ3)} \right) \cdot (ici1 - ici3) + \frac{ici4}{2}$$

where

sɛ1...4 represents the four sum error information items (sɛ1...4), and

ici1...4 represents the four reference disturbance information items (ici1...4).

7. The method as claimed in claim 3 or 4,

characterized

in that the correction information (ici_{opt}) is determined in the course of an iterative search, with the k reference disturbance information items (ici1...4) being established in the course of the iterative search, and the steps (a) to (c) being

repeated until a minimum value of the disturbance-information-specific sum error information (ε_{\min}) is determined, and the correction information (ici_{opt}) has been derived from this.

8. The method as claimed in one of claims 3 to 7,

characterized

in that the additionally deliberately disturbed
received symbols ($es'1...n$) are in each case
corrected by equalization as a function of
frequency-selective transmission characteristics
($H(f)$) of the transmission medium (FK) before the
comparison with the respective closest modulation-
specific modulation symbol.

9. The method as claimed in one of claims 3 to 8,

characterized

- in that, once steps (a) to (d) have each been
carried out for each reference disturbance
information item ($ici1...4$)

-- (a') the received symbols ($es1...n$) of the
subcarriers (st_{i-b} , st_{i+b} , where $b > 1$)
which are each arranged further away
from at least some of the subcarriers
(st_i) in the frequency domain are each
subjected to disturbances from the
reference disturbance information
($ici1...4$), and the disturbed received
symbols are then additively superimposed
as deliberate test disturbances ($icix_{-1}$,
 $icix_{+1}$) on the received symbol ($es1...n$)
of the additionally disturbed subcarrier
(st_i), and

-- steps (b) to (d) are then carried out.

10. The method as claimed in one of claims 2 to 9,

characterized

- in that the received symbols ($ves'1...n$) which
have been corrected using the correction
information (ici_{opt}) are demodulated,,

- in that errors are identified in the demodulated received symbols (d_i) using error identification information inserted into the transmitted information, and identified, erroneous received symbols ($es'1...n$, $es''1...n$) are corrected,
- in that, when errors are identified, steps (b) to (d) are carried out once again, with the corrected received symbols ($es'1...n$, $es''1...n$) being used for determining the correction information (ici_{opt}).

11. The method as claimed in one of the preceding claims,
characterized
in that the multicarrier method is provided by
5 means of an OFDM transmission method - Orthogonal Frequency Division Multiplexing - or by means of a transmission method based on discrete multiple tones - DMT.
- 10 12. The method as claimed in one of the preceding claims,
characterized
in that the transmission medium is in the form of
a wireless radio channel or a cable-based or wire-
15 based transmission channel.
13. The method as claimed in claim 12,
characterized
in that the information is transmitted via power
20 supply lines.
14. Use of the method according to the invention as
claimed in one of the preceding claims,
characterized
25 - in that the received multicarrier signal (ms)
is demodulated,
- in that errors contained in the demodulated
multicarrier signal (di) are identified using
an error handling routine and are corrected,
30 - in that the method is carried out in order to
deliberately disturb the received multicarrier
signal (ms) as a function of the number and
correctability of the errors.
- 35 15. A receiving arrangement for receiving a
multicarrier signal (ms) having a number of

frequency-discrete subcarriers (st1...n) and into which information is inserted which is converted into frequency-discrete modulation symbols by means of a multicarrier method,

5 with the individual frequency-discrete subcarriers
 (st1...n) of the multicarrier signal (ms)
 transmitted via a transmission medium (FK) each
 being subject to subcarrier-specific disturbances
 (ici0)

10

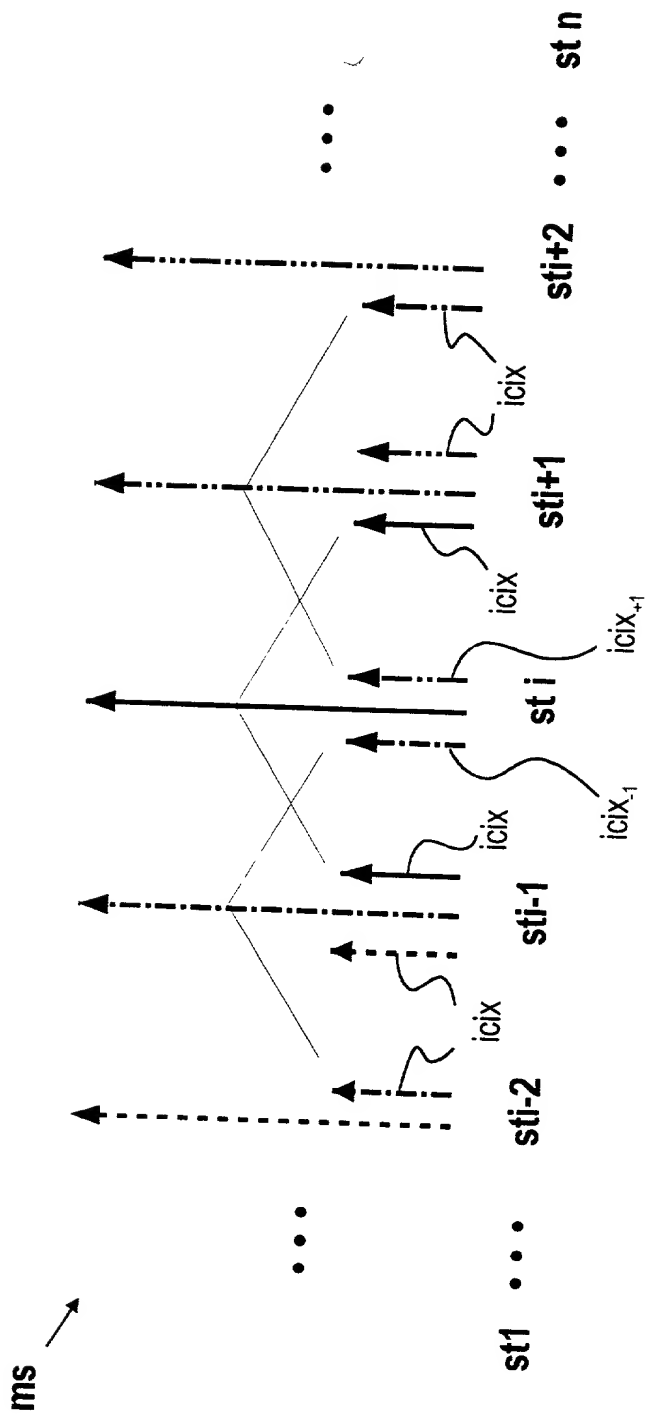
caused by subcarriers (st1...n) arranged adjacent in the frequency domain,

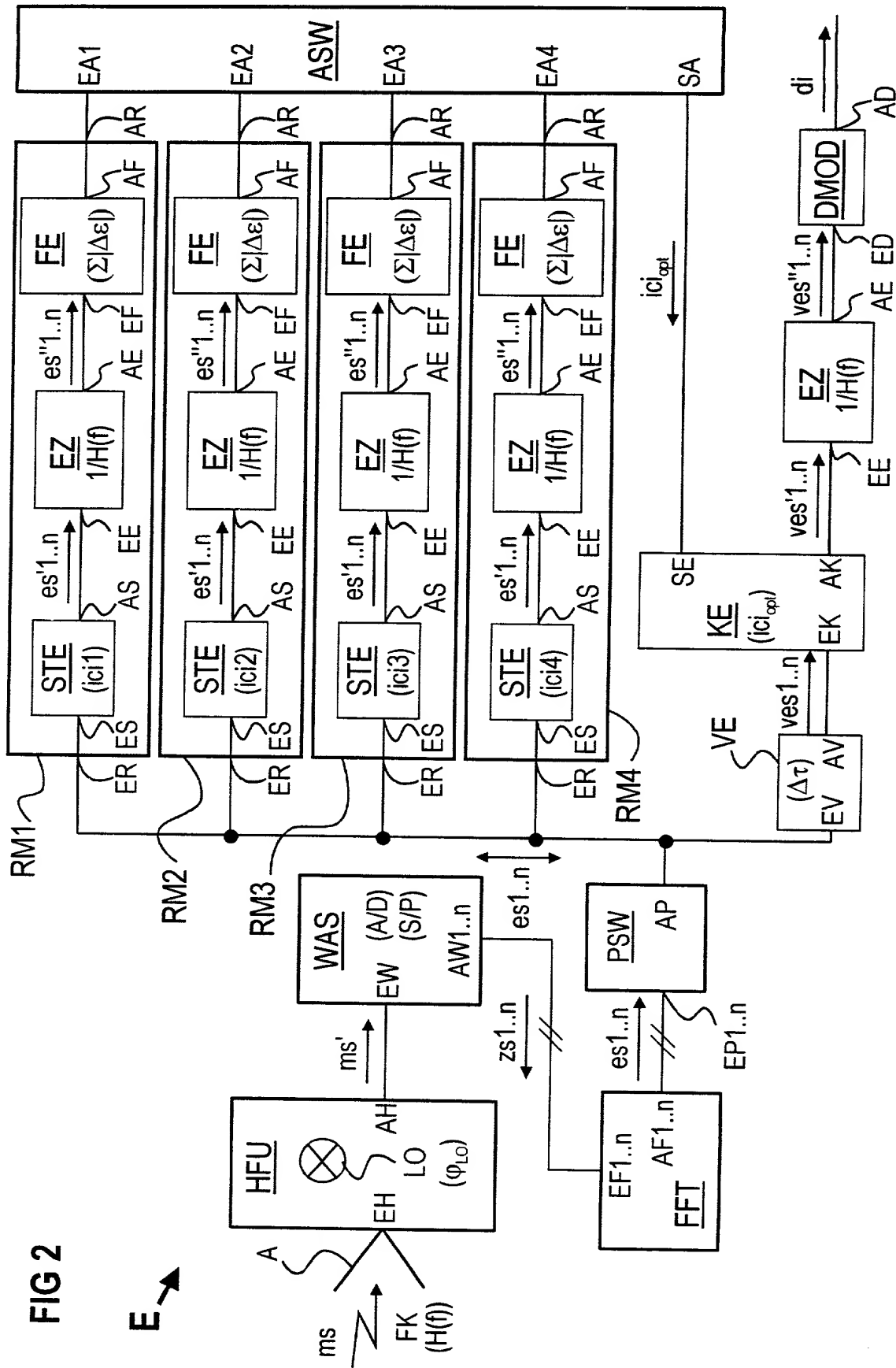
characterized

- 5 - in that disturbance means (RM1..4) are provided for additional, deliberate disturbance of the received multicarrier signal (ms),
- 10 - in that means (ASW) are arranged for deriving correction information (ici_{opt}), which represents the subcarrier-specific disturbances (ici_0), from the additionally deliberately disturbed subcarriers (st1...n, es'1...n, es''1...n), and
- 15 - in that means (KE) are provided for correction of the frequency-discrete subcarriers (st1...n, ves1...n) as a function of the determined correction information (ici_{opt}).

1/4

FIG 1





3/4

FIG 3

STE

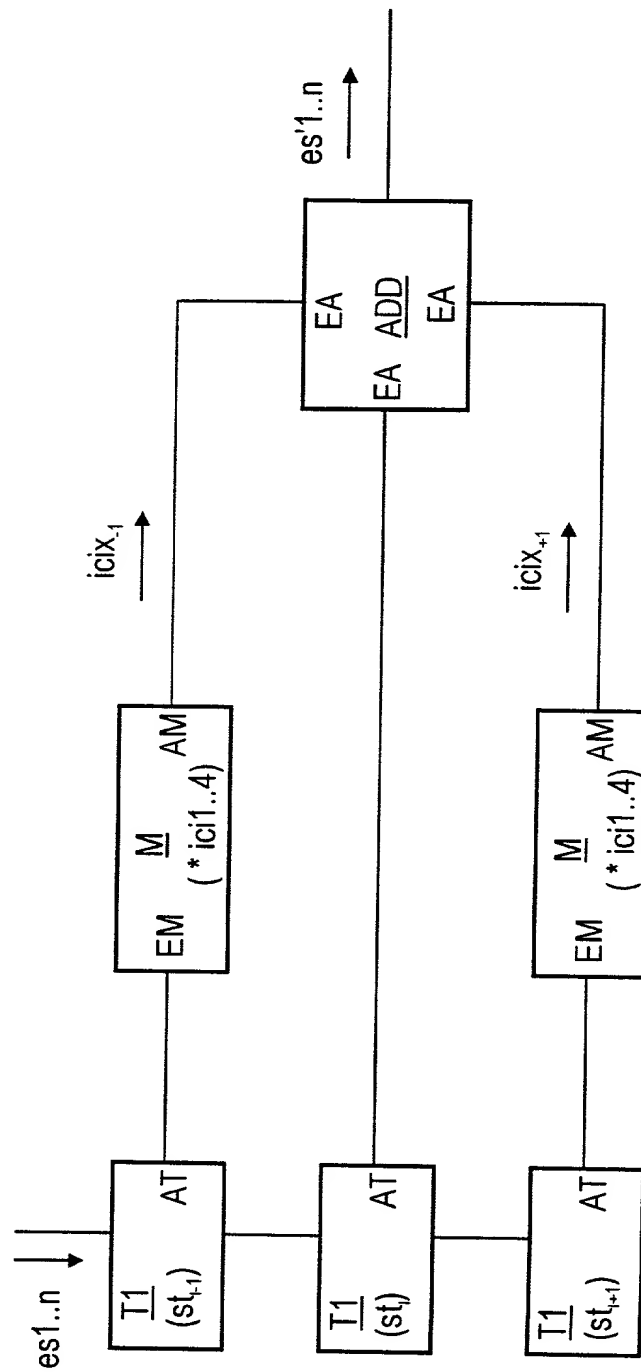
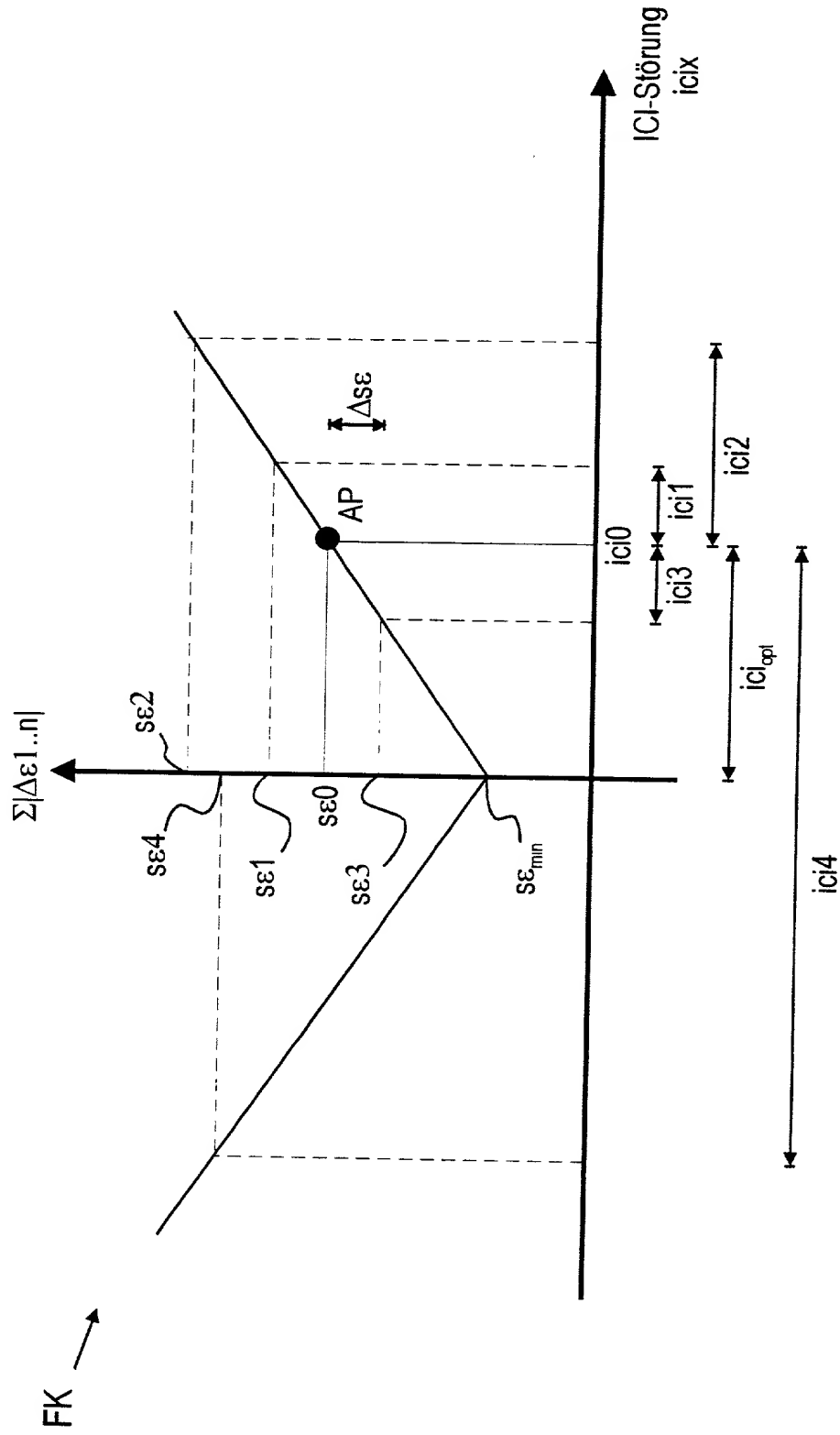


FIG 4



Declaration and Power of Attorney For Patent Application

Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

Verfahren, Verwendung des Verfahrens
und Empfangsordnung zum Empfang
von mehreren frequenzdiskrete
Subtraeger aufweisenden
Multitraegersignalen

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigelegt ist.

☒ am 06.03.2000 als

PCT internationale Anmeldung

PCT Anwendungsnummer PCT/DE00/00699

eingereicht wurde und am _____

abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method, use of said method and receiver
system for receiving multi-carrier signals
presenting several frequency-discrete
subcarriers

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 06.03.2000 as

PCT international application

PCT Application No. PCT/DE00/00699

and was amended on _____
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

German Language Declaration

Prior foreign applications
Priorität beansprucht

Priority Claimed

19914797.3

DE

31.03.1999

☒

☐

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

Yes
Ja

No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐
Yes
Ja

☐
No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐
Yes
Ja

☐
No
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/DE00/00699

06.03.2000

anhängig

pending

(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date D, M, Y)
(Anmeldedatum T, M, J)

(Status)
(patentiert, anhängig,
aufgegeben)

(Status)
(patented, pending,
abandoned)

(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date D,M,Y)
(Anmeldedatum T, M; J)

(Status)
(patentiert, anhängig,
aufgeben)

(Status)
(patented, pending,
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Customer No. 21171

And I hereby appoint

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Ext. _____

Postanschrift:

Send Correspondence to:

Staas & Halsey LLP
700 Eleventh Street NW, Suite 500 20001 Washington, DC
Telephone: (001) 202 434 1500 and Facsimile (001) 202 434 1501
or
Customer No. 21171

Voller Name des einzigen oder ursprünglichen Erfinders: WOLFGANG ZIRWAS		Full name of sole or first inventor: WOLFGANG ZIRWAS	
Unterschrift des Erfinders <i>Wolfgang Zirwas</i>	Datum 8.8.01	Inventor's signature	Date
Wohnsitz GROEBENZELL, DEUTSCHLAND DE		Residence GROEBENZELL, GERMANY	
Staatsangehörigkeit DE		Citizenship DE	
Postanschrift MITTENWALDER STR.136		Post Office Address MITTENWALDER STR.136	
82194 GROEBENZELL		82194 GROEBENZELL	
Voller Name des zweiten Miterfinders (falls zutreffend):		Full name of second joint inventor, if any:	
Unterschrift des Erfinders	Datum	Second Inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).